

A cluster Mott Insulator on the pyrochlore lattice

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Outline

- Some experiments on AM_4X_8
- Some Models
- Mean field theory
- Beyond mean field theory

Lacunar spinel compound: AM_4X_8

A = Ga, Ge;

M = V, Mo, Ta, Nb, Cr

X (Q) = Se, Te, S

M: fractional valence charge
Pyrochlore lattice system

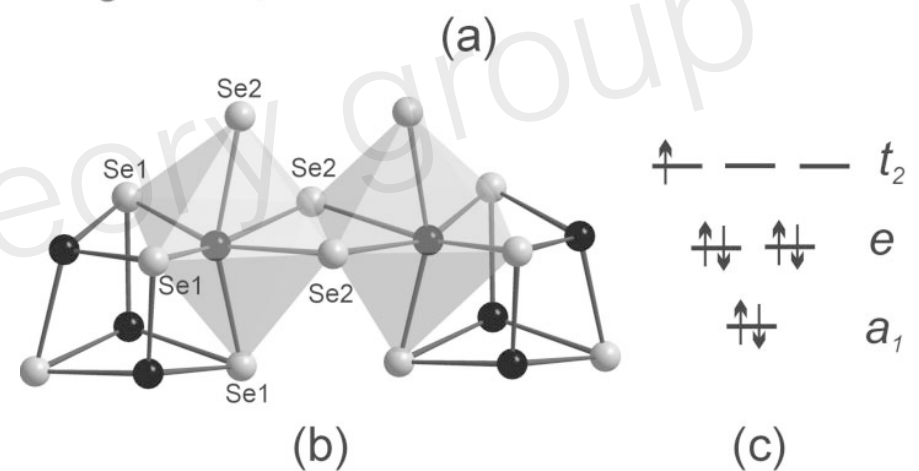
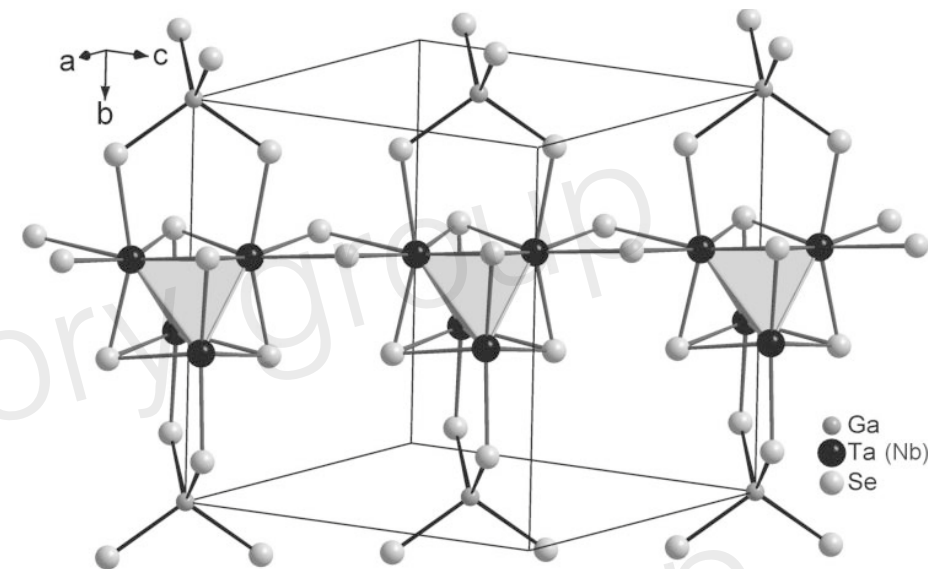
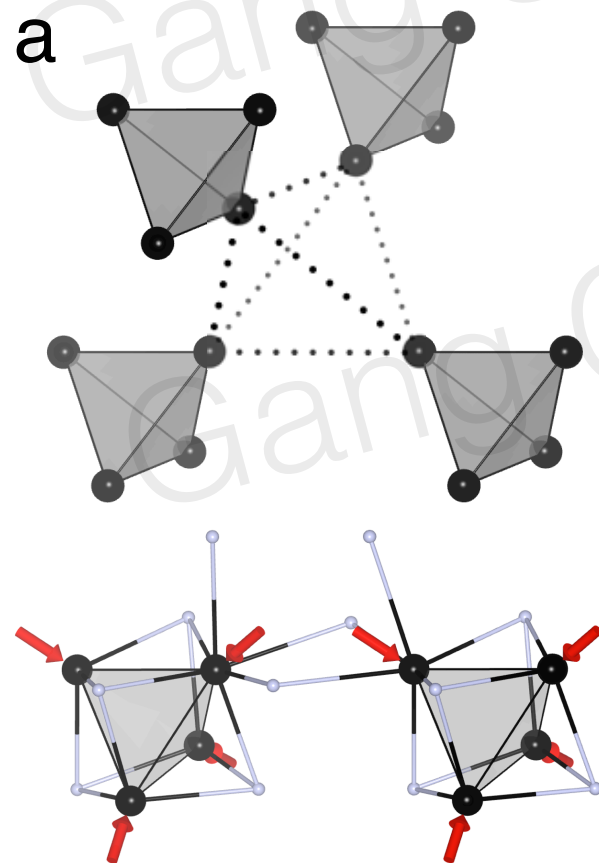


FIG. 1. (a) Linkage of the Ta_4Se_4 cluster units via bridging Se2 atoms and their connection with the $GaSe_4$ tetrahedra in the fcc $GaMo_4S_8$ structure. (b) (Ta,Nb) atoms shifted off the centers of distorted edge-sharing Se_6 octahedra ($d_{Ta-Se1} = 2.508 \text{ \AA}$; $d_{Ta-Se2} = 2.643 \text{ \AA}$). (c) Molecular orbital (MO) scheme for the $M-M$ bonding orbitals of a M_4 cluster with ideal T_d symmetry for seven electrons per cluster.

Local molecules/clusters

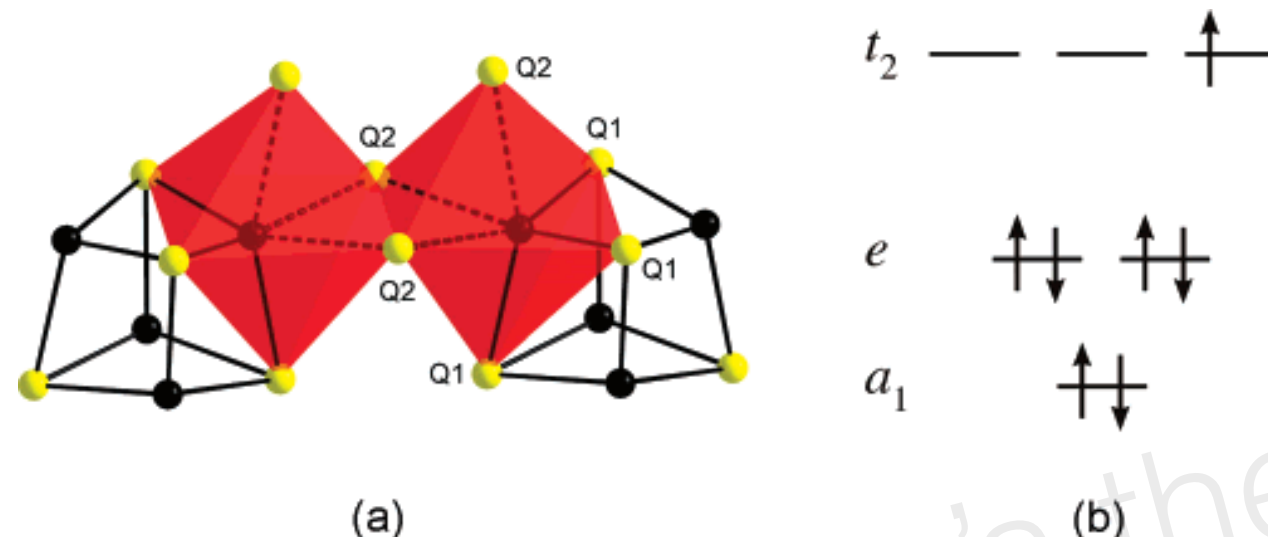


Figure 2. (a) Connection of two M_4Q_4 cubes in GaM_4Q_8 . The M atoms (black spheres) are located in distorted, edge-sharing MQ_6 octahedra (red) with three shorter $M-Q1$ (solid) and three longer $M-Q2$ bonds (dashed). (b) MO scheme of the tetrahedral M_4 -cluster with $M = V, Nb, Ta$, occupied by seven electrons according to the formula $GaM_4^{3.25+}Q_8^{2-}$.

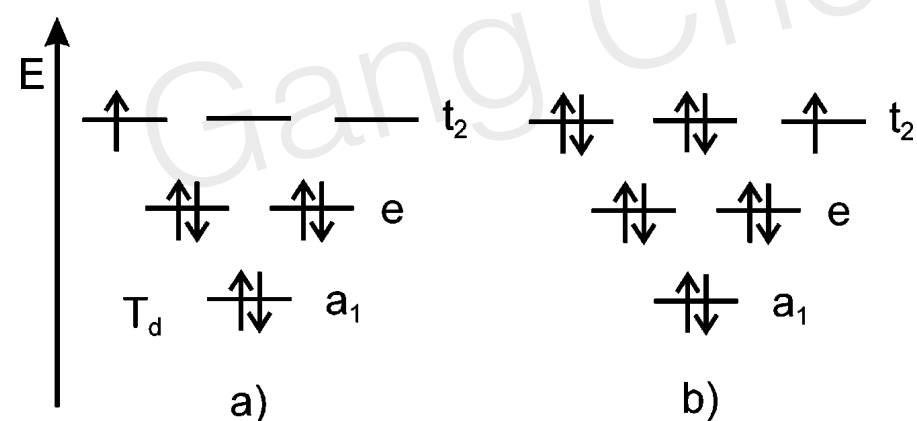


Figure 3. Molecular orbital schemes for the tetrahedral M_4 clusters: (a) GaV_4S_8 and (b) $GaMo_4S_8$.

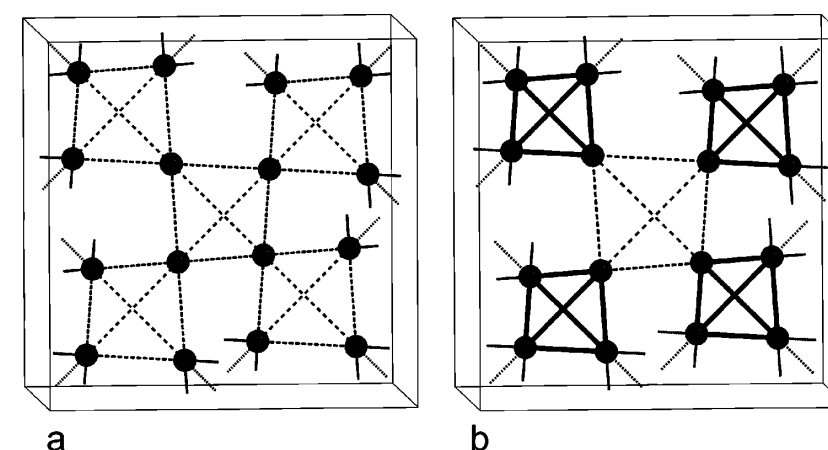


Figure 2. Substructures of the metal atoms in the spinel-type (a) and in the $GaMo_4S_8$ structure (b).

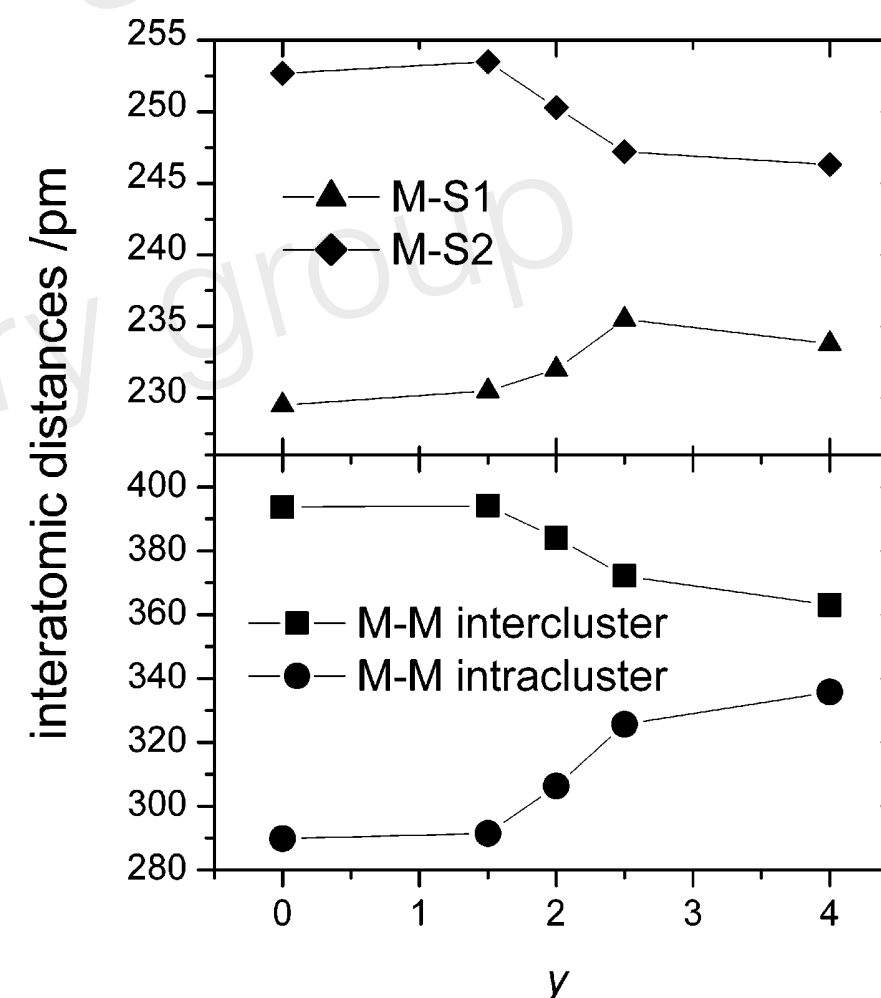


Figure 5. $M-S$ and $M-M$ ($M = V/Cr$) distances in the series $Ga_xV_{4-y}C$.

Metal-insulator transition

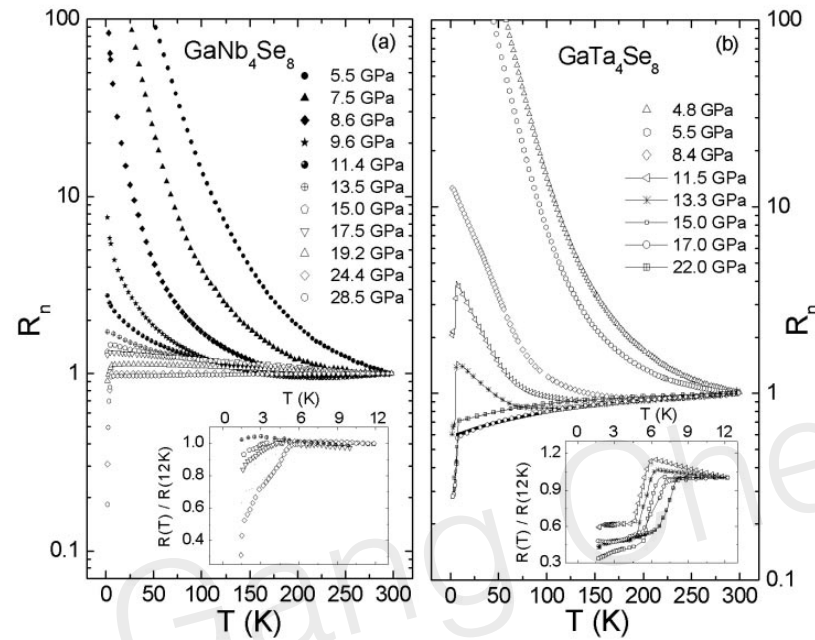


FIG. 2. Temperature dependence of the normalized electrical resistance $R_n = [R(T)/R(297\text{ K})]$ of GaNb_4Se_8 (a) and GaTa_4Se_8 (b) at different pressures up to 28.5 GPa. The insets show the drop of R_n at high pressures and low temperatures.

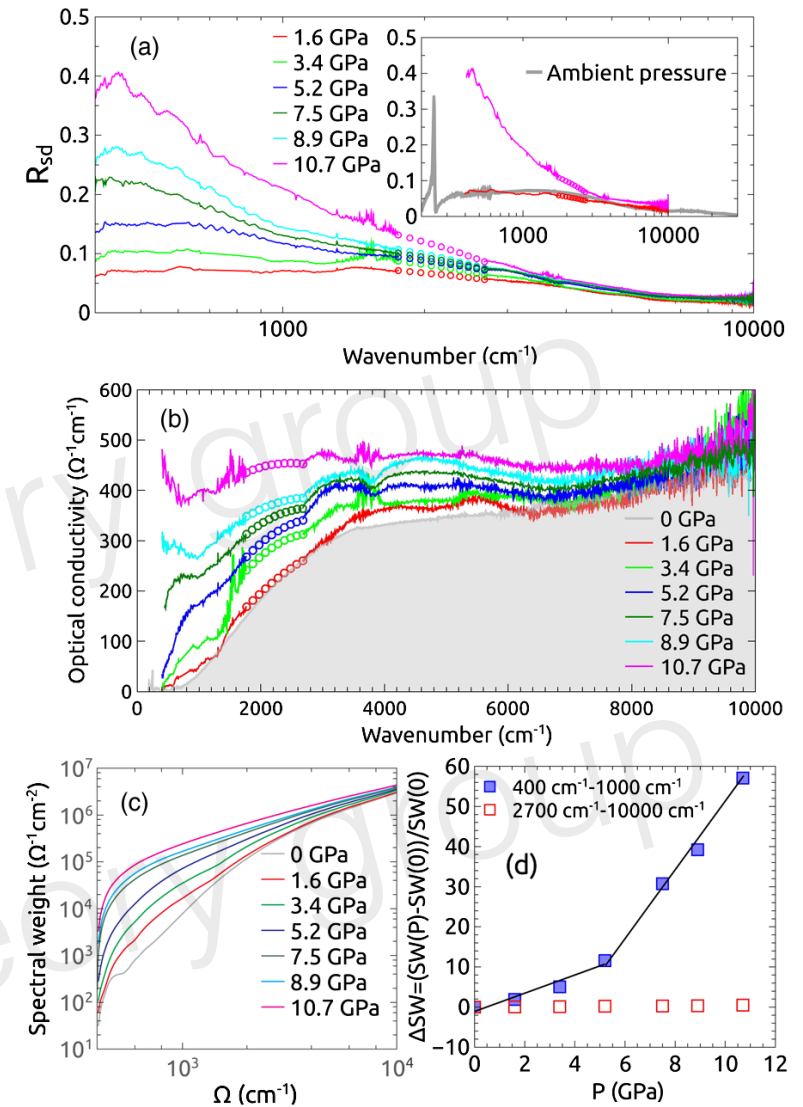


FIG. 1 (color online). (a) Renormalized $R_{sd}(\omega)$ reflectivity at the sample-diamond interface from 1.6 GPa (lowest) to 10.7 GPa (highest curve), compared to $R_{sd}^{\text{cal}}(\omega)$ (see text). (b) Pressure-dependent optical conductivity $\sigma(\omega)$ from 1.6 GPa (lowest) to 10.7 GPa (highest curve). The dashed area corresponds to ambient pressure optical conductivity. (c) Spectral weight $\text{SW}_{\Omega_0}^{\Omega}(P) = \int_{\Omega_0}^{\Omega} \sigma(\omega, P) d\omega$, integrated between $\Omega_0 = 400\text{ cm}^{-1}$ and Ω for various pressures from 0 GPa (lowest) to 10.7 GPa (highest curve). (d) Relative spectral weight $\frac{\text{SW}_{\Omega_1}^{\Omega_2}(P) - \text{SW}_{\Omega_1}^{\Omega_2}(0)}{\text{SW}_{\Omega_1}^{\Omega_2}(0)}$ as a function of pressure, integrated at low energy ($\Omega_1, \Omega_2 = 400, 1000\text{ cm}^{-1}$) and high energy ($\Omega_1, \Omega_2 = 2700, 10000\text{ cm}^{-1}$). Solid lines are a guide for the eye.

GaNbS	10GPa	Mott to superconductor	T _c = 2.1K	noncentrosymm etric superconductor
GaTaSe	11.5GPa	Mott to superconductor	T _c =5.8K	noncentrosymm etric superconductor
GaNbSe	13GPa	Mott to superconductor	T _c = 2.9K	noncentrosymm etric superconductor

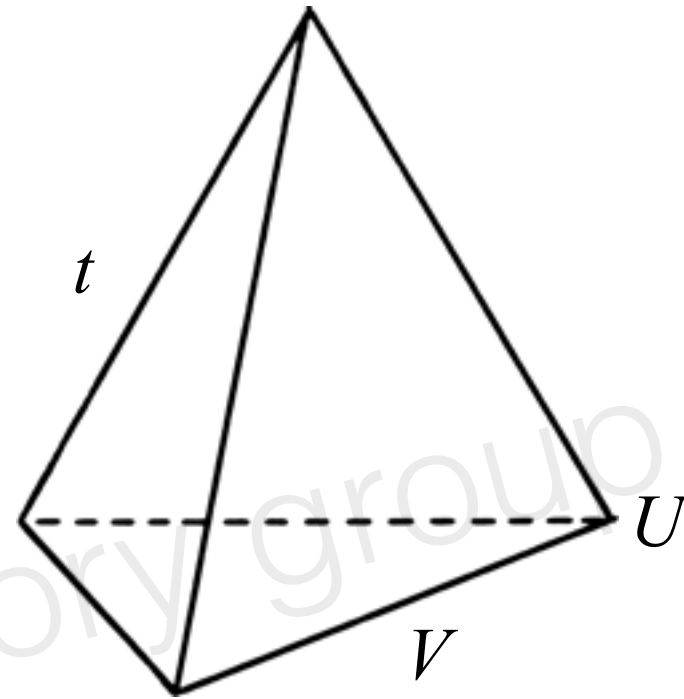
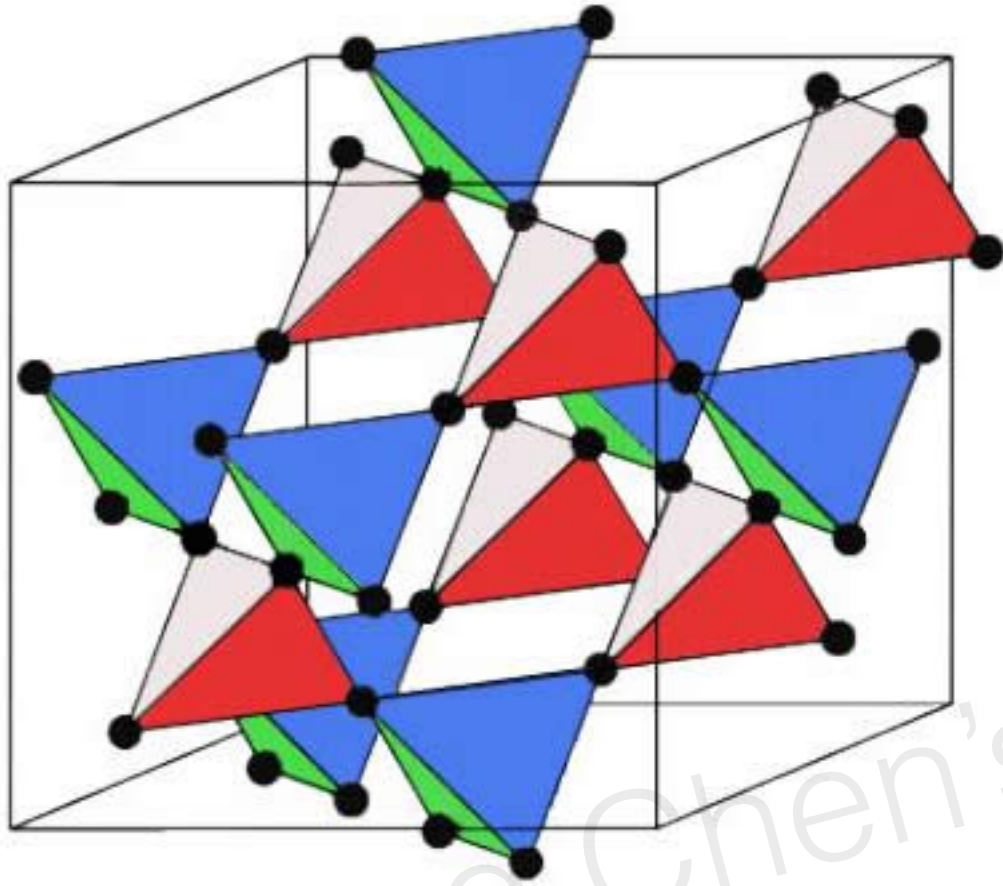
Some magnetism

GaV ₄ S ₈ 7e in the cluster	M=1.7	FM at T _c =10K	
GeV ₄ S ₈ 8e in the cluster	M=2.8	AFM at T _c =13K, q=[1/2,1/2,0]	might be some charge order?
GaNb ₄ S ₈ s=1/2 cluster	-298K Curie- Weiss	spin singlet state with spin gap 200K	structural and magnetic xtion at 21K
GaMo ₄ S ₈	structural xtion at 46.5K,	weakly FM below 13K	
GaNb ₄ Se ₈	M=1.73		weak soc
GaTa ₄ Se ₈	M=0.7		strong sox
GaMo ₄ Se ₈		FM below 26.7K	

Basic features of these materials:

- Structure: 3D pyrochlore lattice, break inversion, chemical doping can do something;
- charge transport: mostly Mott insulator, can become metal or superconductor under high pressure, electrons are localized to the cluster not to lattice sites;
- magnetism: not very clear. Very limited experiments suggests some ordering in some material.
Current “theory” assumes molecular/cluster orbitals and spins, spin-orbit coupling seems to be important in some compounds

Pyrochlore lattice Hubbard model



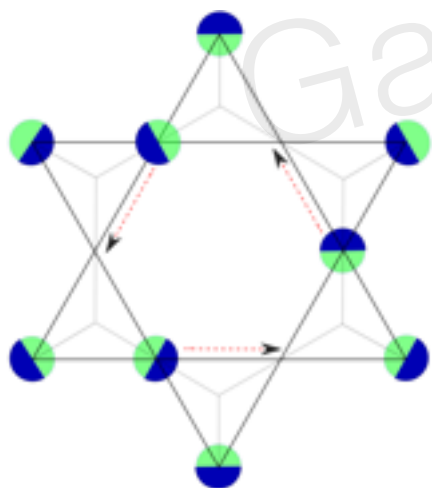
$$H = - \sum_{\langle ij \rangle} t (c_{i\sigma}^\dagger c_{j\sigma} + h.c.) + V \sum_{\langle ij \rangle} n_i n_j + \frac{U}{2} \sum_i (n_i - \frac{1}{2})^2 - \mu \sum_i n_i$$

- 1/2 filling: 1 electron per site
- 1/4 filling: 2 electron per tetrahedron
- 1/8 filling: 1 electron per tetrahedron

site mott insulator vs cluster mott insulator

For $1/2$ filling, electron becomes localized on the lattice site in the strong Mott insulator. At weak Mott regime, maybe interpreted as “metallic in short distance and insulator in long distance”.

For $1/4$ filling, electron becomes localized on the tetrahedral cluster in the strong Mott insulator. There are two electrons per cluster. Since there are empty sites, electron can do some collective motion.



Similar for $1/8$ filling, there is only 1 electron in each cluster

Metal-insulator transition

$c_{i\sigma}^\dagger = e^{i\theta_i} f_{i\sigma}^\dagger$ rotor takes care of the bosonic charge
fermion takes care of the spin

with a constraint $L_i^z = (\sum_\sigma f_{i\sigma}^\dagger f_{i\sigma}) - \frac{1}{2},$

One may justify/understand this from many body wavefunction

$$\Psi_c(r_1, r_2, \dots) = \Psi_\theta(r_1, r_2, \dots) \times \Psi_f(r_1, r_2, \dots)$$

For 1/2 filling,

metal: superfluid wavefct for rotor

insulator: boson mott insulator wavefct for rotor

in terms of operator,

$$\langle \theta \rangle \neq 0 \quad \text{or} \quad \langle \theta \rangle = 0$$

For 1/4 filling, one needs a different boson wavefct

$$H = - \sum_{\langle ij \rangle} t (c_{i\sigma}^\dagger c_{j\sigma} + h.c.) + V \sum_{\langle ij \rangle} n_i n_j + \frac{U}{2} \sum_i (n_i - \frac{1}{2})^2 - \mu \sum_i n_i$$

With slave rotor decoupling

$$c_{i\sigma}^\dagger c_{j\sigma} \sim \langle e^{i(\theta_i - \theta_j)} \rangle f_{i\sigma}^\dagger f_{j\sigma} + e^{i(\theta_i - \theta_j)} \langle f_{i\sigma}^\dagger f_{j\sigma} \rangle$$

then we have two Hamiltonian for spin and charge, respectively,

$$H_S = - \sum_{\langle ij \rangle, \sigma} t_{ij}^{\text{eff}} (f_{i\sigma}^\dagger f_{j\sigma} + h.c.) - \sum_{i, \sigma} (\mu + h_i) f_{i\sigma}^\dagger f_{i\sigma}$$

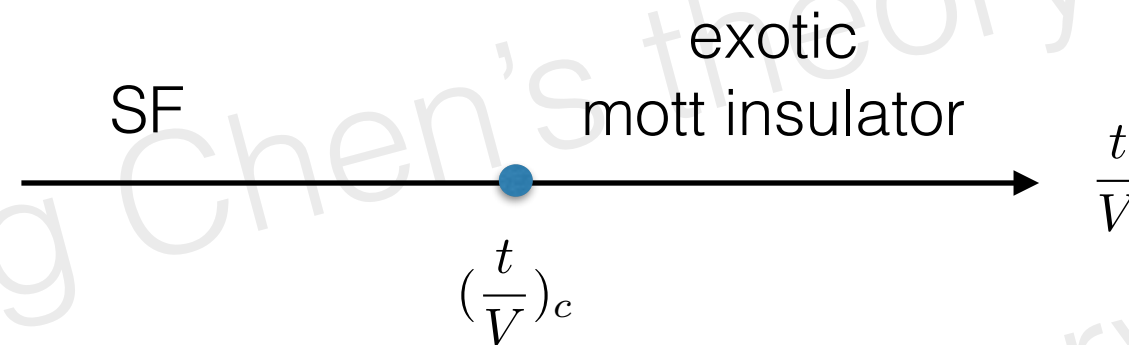
$$H_C = - \sum_{\langle ij \rangle} J_{ij}^{\text{eff}} (e^{i\theta_i - i\theta_j} + h.c.) + V \sum_{\langle ij \rangle} L_i^z L_j^z \\ + 3V \sum_i L_i^z + \sum_i h_i (L_i^z + \frac{1}{2}) + \frac{U}{2} \sum_i (L_i^z)^2.$$

$$\text{Here, } t_{ij}^{\text{eff}} = t \langle e^{i\theta_i - i\theta_j} \rangle, \quad J_{ij}^{\text{eff}} = t \sum_{\sigma} \langle f_{i\sigma}^\dagger f_{j\sigma} \rangle$$

Charge sector Hamiltonian is nothing but a XXZ model

identify $e^{\pm i\theta} = L^{\pm}$

we know there are only two phases of this model



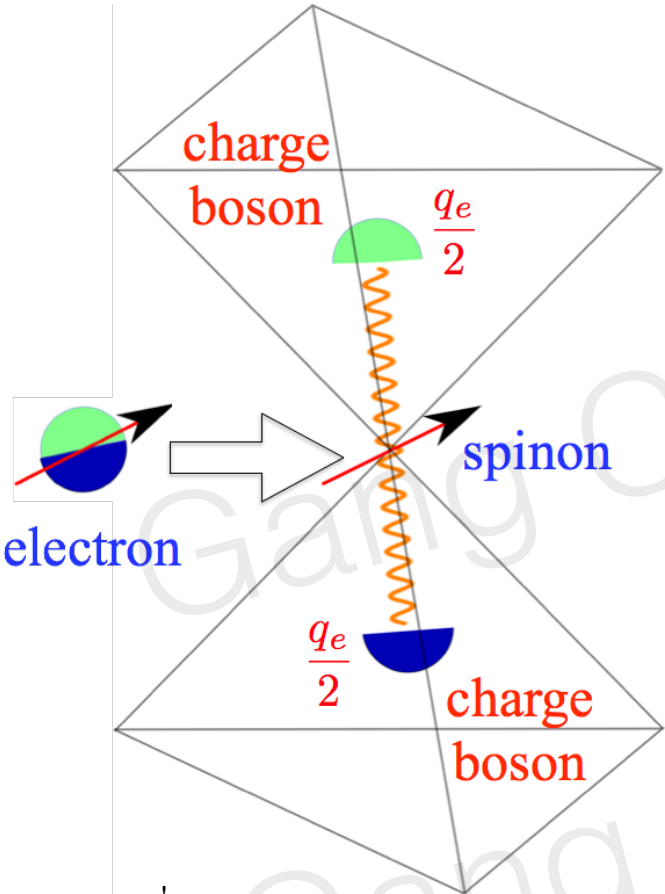
This exotic mott insulator is just quantum spin ice.

rotor fractionalizes into two bosons, each carries half the rotor charge quantum number.

Mott xtion occurs when the boson charge condenses

measure change with respect to the parent state

In terms of calculation (if one is interested), one needs to combine the slave-rotor mean field theory with the gauge mean field theory for the quantum ice together.



c_1^\dagger
 $c_1^\dagger c_2^\dagger c_3^\dagger$
 $c_1^\dagger c_2^\dagger c_3^\dagger c_4^\dagger c_5^\dagger$
 $c_1^\dagger c_2^\dagger c_3^\dagger c_4^\dagger c_5^\dagger c_6^\dagger c_7^\dagger$
 $c_1^\dagger c_2^\dagger c_3^\dagger c_4^\dagger c_5^\dagger c_6^\dagger c_7^\dagger c_8^\dagger c_9^\dagger$

$$c_{i\sigma}^\dagger = \Phi_{\mathbf{r}}^\dagger \Phi_{\mathbf{r}'} l_{\mathbf{r}\mathbf{r}'}^+ f_{i\sigma}^\dagger.$$

we need 2 internal U(1) gauge fields,
 one for spin-charge separation
 one for charge fractionalization

Operator	Q^{em}	Q^{sc}	Q^{c}
$c_{i\sigma}^\dagger$	q_e	0	0
$f_{i\sigma}^\dagger$	0	1	0
$e^{i\theta_i}$	q_e	-1	0
$\Phi_{\mathbf{r}}^\dagger, \mathbf{r} \in \text{I}$	$q_e/2$	-1/2	1
$\Phi_{\mathbf{r}}^\dagger, \mathbf{r} \in \text{II}$	$-q_e/2$	1/2	1

introduce one charge to the system, but
 shared by two tetrahedra on its ends

Mott transition

in terms of wavefct, $\Psi_c = \Psi_{\Phi, I} \Psi_{\Phi, II} \Psi_f$

metal: superfluid wavefct for two bosons

mott insulator: bosonic mott insulator for two bosons

here, we assume inversion symmetry, so two bosons behave the “same”.

in terms of operator, metal: $\langle \Phi \rangle \neq 0$

insulator: $\langle \Phi \rangle = 0$

Electron Green's function

$$G_{e,\alpha\beta}(i,j;\tau) = -\langle T c_{i\alpha}(\tau) c_{j\beta}^\dagger(0) \rangle = \Delta^2 G_{\text{I}}(\mathbf{r}_i - \mathbf{r}_j, \tau) G_{\text{II}}(\mathbf{r}'_j - \mathbf{r}'_i, -\tau) G_{f,\alpha\beta}(i,j;\tau)$$

Electron spectral function

$$\begin{aligned} A_e(\mathbf{k}, \omega) = & \sum_{\mathbf{k}_1, \mathbf{k}_2} \int_{E_1, E_2} f(E_1) [n(E_1 + E_2 - \omega) - n(E_2)] \\ & \times \Delta^2 A_{\text{I}}(\mathbf{k}_2, E_2) A_{\text{II}}(\mathbf{k}_1 + \mathbf{k}_2 - \mathbf{k}, E_1 + E_2 - \omega) \\ & \times A_f(\mathbf{k}_1, E_1), \end{aligned} \quad (5)$$

1. activated behaviour in the mott phase: gap = 2* boson gap
2. pseudogap-like at mott transition point, $A(w) \sim w^4$

Beyond mean field theory, include gauge fluctuations

$$\mathcal{L} = \mathcal{L}_\Phi + \mathcal{L}_f + \mathcal{L}_\mathcal{A} + \mathcal{L}_a + \mathcal{L}_{bf} \quad (6)$$

$$\mathcal{L}_\Phi = \left| \left[\partial_\mu - i \left(\mathcal{A}_\mu - \frac{a_\mu}{2} \right) \right] \Phi_I \right|^2 + \left| \left[\partial_\mu - i \left(\mathcal{A}_\mu + \frac{a_\mu}{2} \right) \right] \Phi_{II} \right|^2 \\ + m^2 [|\Phi_I|^2 + |\Phi_{II}|^2] + u [|\Phi_I|^4 + |\Phi_{II}|^4] + v |\Phi_I|^2 |\Phi_{II}|^2$$

$$\mathcal{L}_f = \psi_\sigma^\dagger (\partial_\tau - i a_0 - \mu_f) \psi_\sigma + \frac{1}{2m_f} |(\nabla - i \mathbf{a}) \psi_\sigma|^2$$

$$\mathcal{L}_\mathcal{A} = \frac{1}{4g_A^2} (\partial_\mu \mathcal{A}_\nu - \partial_\nu \mathcal{A}_\mu)^2, \quad \mathcal{L}_a = \frac{1}{4g_a^2} (\partial_\mu a_\nu - \partial_\nu a_\mu)^2$$

$$\mathcal{L}_{f\Phi} = \lambda |\psi_\sigma|^2 (|\Phi_I|^2 + |\Phi_{II}|^2).$$

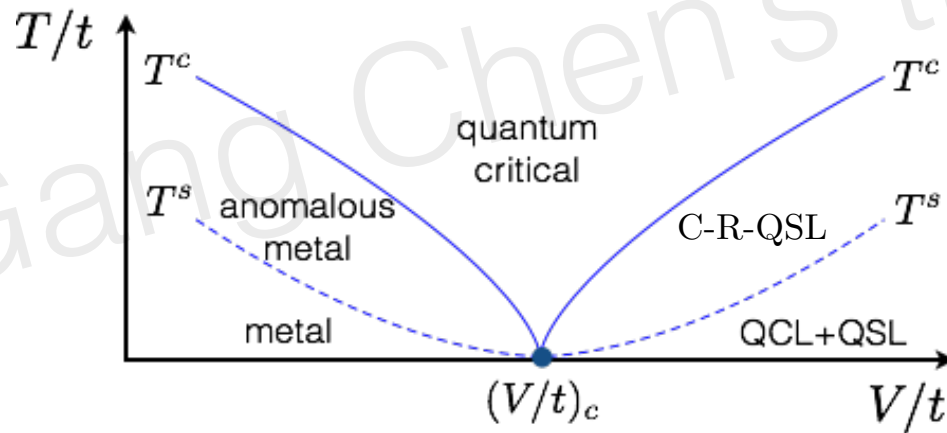


FIG. 2. The finite temperature crossover in the vicinity of the weakly first-order Mott transition.

Crossover in heat capacity and electric conductivity

heat capacity crossover signals the $z_s=3$ dynamical exponent

spin sector dominates the thermodynamics

$$C \approx \begin{cases} T \ln \ln 1/T & T > |V - V_c|^{3/2} \\ \gamma_1 T \ln 1/T & T < (V - V_c)^{3/2} \\ \gamma_2 T & T < (V_c - V)^{3/2} \end{cases}$$

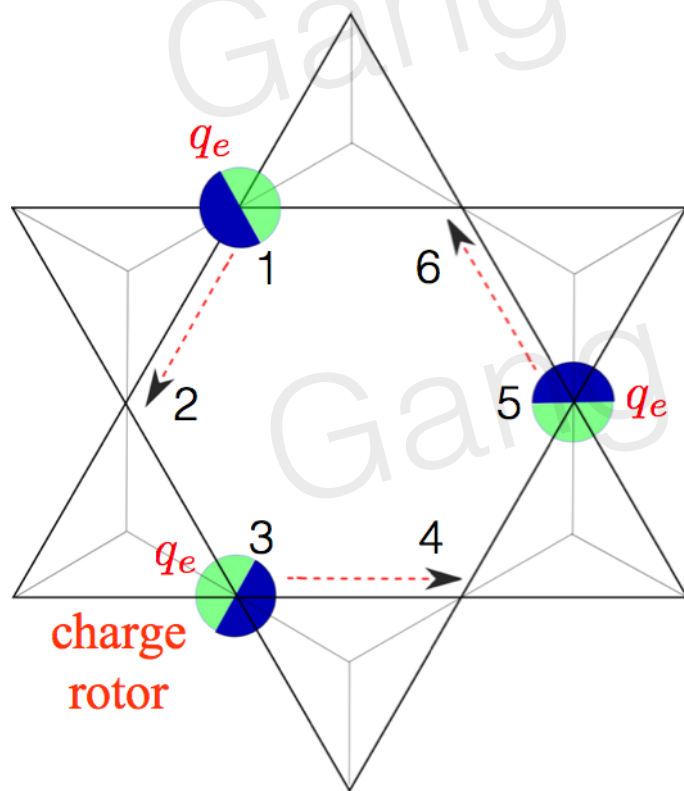
electric resistivity signals the $z_c=1$ dynamical exponent and

$$\rho_c = \rho_f + (\rho_I^{-1} + \rho_{II}^{-1})^{-1}$$

note: the resistivity gap in the mott regime is single boson gap.

How about $1/8$ filling?

With slave rotor, we obtain a xxz model for charge sector. The mott state (which is incompressible) corresponds to the $1/2$ magnetization plateau of the xxz model. There is only one electron in each cluster, which turns into a Gauss law for the low energy physics.



Like quantum spin ice state, the low energy theory is also the $U(1)$ compact QED.

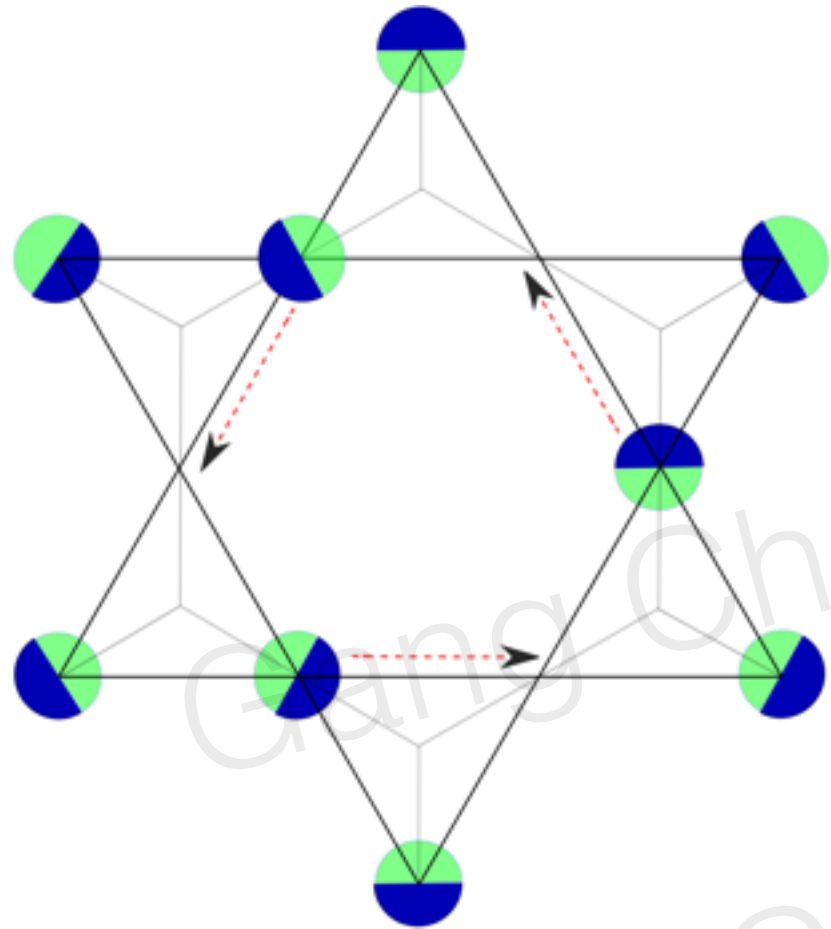
bose hubbard model at $n=1,2,3,4,5$, fixed density, there is emergent particle-hole symmetry and xy transition as one tune U/t .

here, we stay on the $1/2$ magnetization plateau or fix the density/filling of the boson and tune the interaction.

we measure the charge of "quasiparticle" respect to the original state.

Strong Mott regime: spin becomes important

For 1/4 filling, the spin interaction appears in 2nd order



$$J_{ex} \sim \frac{t^2}{U + V}$$

we also the ring hopping

$$H_{\text{eff}} = -\frac{3t^3}{V^2} \sum_{\text{hexagon}} \sum_{\alpha\beta\gamma} (c_{1\alpha}^\dagger c_{2\alpha} c_{3\beta}^\dagger c_{4\beta} c_{5\gamma}^\dagger c_{6\gamma} + c_{1\alpha}^\dagger c_{6\alpha} c_{5\beta}^\dagger c_{4\beta} c_{3\gamma}^\dagger c_{2\gamma} + h.c.).$$

not sure which one is larger. If exchange is dominant, one may favor some electron configuration that optimize the spin state first, then one may not have the U(1) quantum charge ice state. In opposite limit, one have quantum charge ice, spin is likely to form a quantum spin liquid.

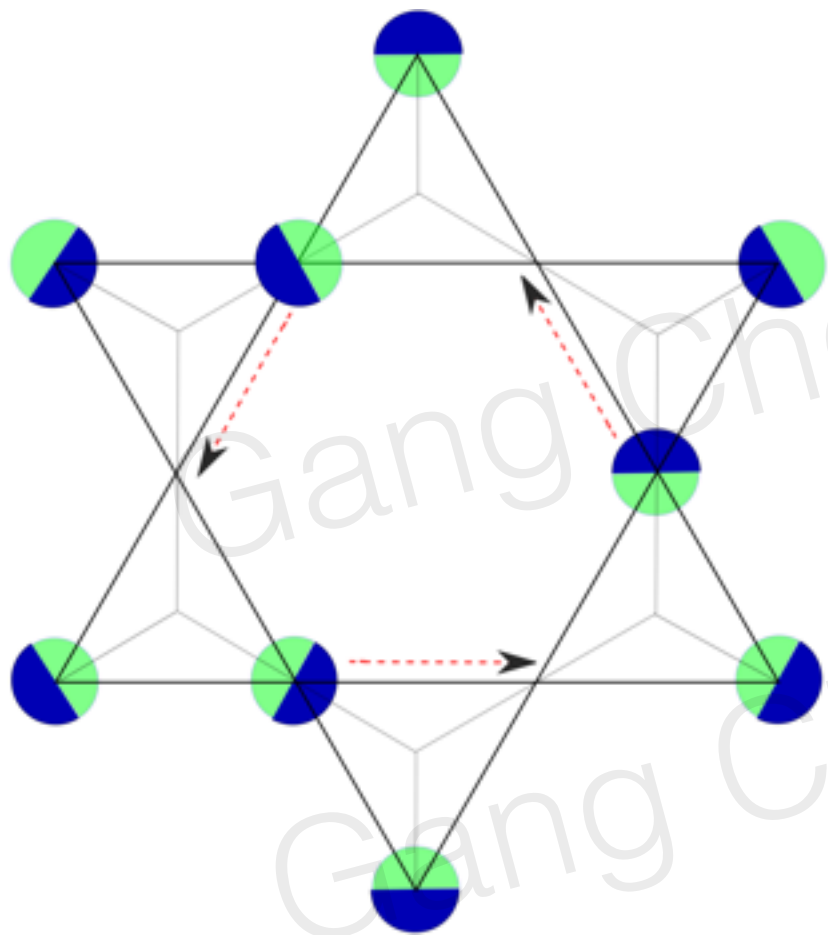
Because in that limit, we have..

Remark:

1. the leading order spin interaction is not usual spin exchange nor ring exchange, it cannot be written into the standard spin operator. This is an important feature brought by the cluster Mott insulator.
2. for this kind of spin interaction, it is hard to define a mean field that couples to the spin, so it is more likely for the spins to form a quantum spin liquid than the usual magnets.

How about 1/8 filling

$V \gg t$ the leading interaction is the following
ring hopping of electrons



$$H_{\text{eff}} = -\frac{3t^3}{V^2} \sum_{\text{hexagon}} \sum_{\alpha\beta\gamma} (c_{1\alpha}^\dagger c_{2\alpha} c_{3\beta}^\dagger c_{4\beta} c_{5\gamma}^\dagger c_{6\gamma} + c_{1\alpha}^\dagger c_{6\alpha} c_{5\beta}^\dagger c_{4\beta} c_{3\gamma}^\dagger c_{2\gamma} + h.c.).$$

spin-charge separation:
 $c = b f$

Boson is hardcore because of the large onsite U

Decouple the electron ring hopping

$$c_{1\alpha}^\dagger c_{2\alpha} c_{3\beta}^\dagger c_{4\beta} c_{5\gamma}^\dagger c_{6\gamma} \quad \Rightarrow \quad \begin{aligned} &\langle b_1^\dagger b_2 b_3^\dagger b_4 b_5^\dagger b_6 \rangle f_{1\alpha}^\dagger f_{2\alpha} f_{3\beta}^\dagger f_{4\beta} f_{5\gamma}^\dagger f_{6\gamma} \\ &\langle f_{1\alpha}^\dagger f_{2\alpha} f_{3\beta}^\dagger f_{4\beta} f_{5\gamma}^\dagger f_{6\gamma} \rangle b_1^\dagger b_2 b_3^\dagger b_4 b_5^\dagger b_6 \end{aligned}$$

The charge sector Hamiltonian

$$H_c \sim - \sum_{hexagon} b_1^\dagger b_2 b_3^\dagger b_4 b_5^\dagger b_6 + h.c$$

identical to the effective model to quantum spin ice!
so we expect a quantum charge ice ground state for
the charge sector.

What do we do for the spin?

$$f_{1\alpha}^\dagger f_{2\alpha} f_{3\beta}^\dagger f_{4\beta} f_{5\gamma}^\dagger f_{6\gamma} \rightarrow \langle f_{3\beta}^\dagger f_{4\beta} \rangle \langle f_{5\gamma}^\dagger f_{6\gamma} \rangle f_{1\alpha}^\dagger f_{2\alpha}$$

or directly from the original Hamiltonian

$$c_{1\alpha}^\dagger c_{2\alpha} \sim \langle b_1^\dagger b_2 \rangle f_{1\alpha}^\dagger f_{2\alpha}$$

This will lead to a quantum spin liquid with spinon Fermi surface

(the choice of hopping for 1/4 filling leads to some flat band at Fermi level. the flatness can be broken by extra hopping)

At finite temperature ?

Crossover instead of transition.

more general model for the actual quantum spin ice may allow 1st transition. Here we probably do not expect phase transition. One reason is that in the numerics, one does not see finite transition transition.

Crossover temperature $T \sim \frac{t^3}{V^2}$

quantum charge ice turns to a classical charge ice

What did we find?

We find a new phase whose spin and charge are both exotic. It seems to be a natural case to think for the cluster Mott insulator.

Experimental consequence:

1. Thermodynamics: $C_v \sim T \ln 1/T + T^3$
spin susceptibility: $\chi \sim \text{const}$ (but complicated by SOC)
2. Transport: charge is fractionalized. difference between tunnelling conductance and electric conductance.
3. In (charge) gap physics: note the external magnetic flux can couple to internal ones, this may lead to the in gap optical conductivity/absorption (not looked at right now). (These are for the experts in the audience!)

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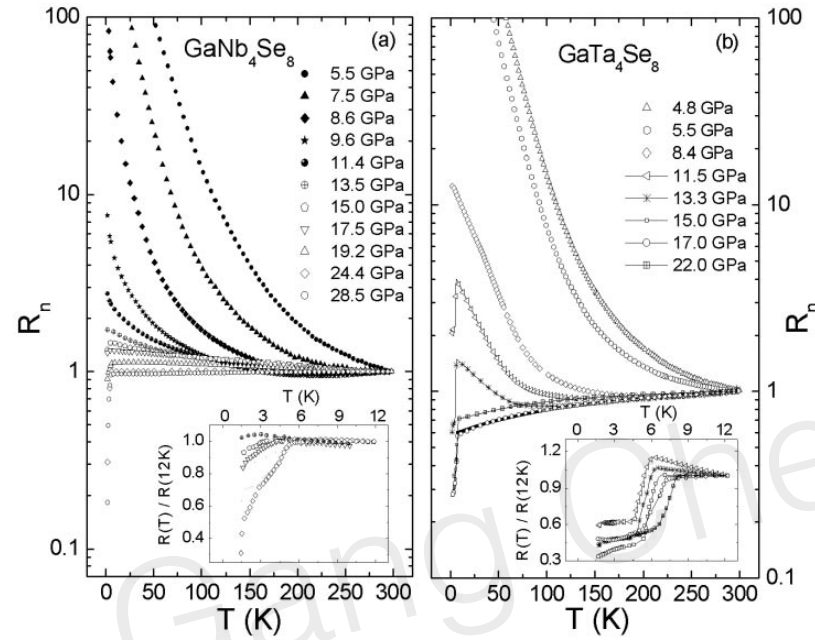


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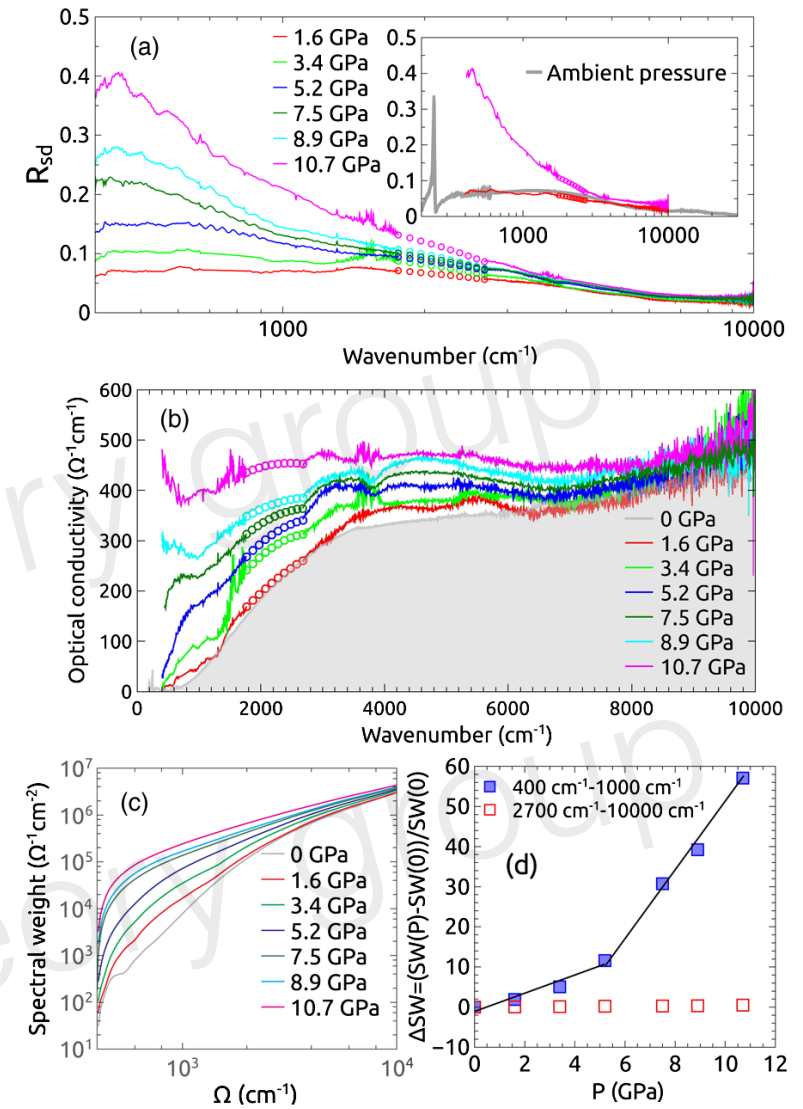


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Kagome Hubbard model at 1/6 filling

