

Magnetic states and quantum spin ices from dipole-octupole doublets on pyrochlore lattice

thanks very much for coming to my talk

Gang Chen

Fudan University, Shanghai, China

Currently on a visit at Perimeter Institute, Waterloo, Canada



Job opening

- **Postdocs** are generously funded and will have tremendous freedom.
- **Freedom:** 1. can apply for his/her own research grant
2. can invite visitors/collaborators, propose and organize workshops
3. get access to the frontier experiments both in Shanghai and the rest of China.



I am looking for postdocs. Our postdocs are generously supported and will have tremendous freedom.

top notch experimentalist in the world.

I don't care about money.

i don't look so great by comparison.

it is time to explore this modern city in this ancient nation !

Shanghai, China

Take-home message

1. We propose a **generic “XYZ” model** for “dipole-octupole” doublet on pyrochlores.
2. This model has both nontrivial ordered phases and distinct **symmetry-enriched U(1) QSL ground states**. Moreover, it does not have **a sign problem** for quantum Monte Carlo simulation in a large parameter space.
3. We discuss the relevance to real materials such as $\text{Dy}_2\text{Ti}_2\text{O}_7$, $\text{Nd}_2\text{Ir}_2\text{O}_7$, $\text{Nd}_2\text{Sn}_2\text{O}_7$, CdEr_2Se_4 , CdYb_2S_4 and $\text{Ce}_2\text{Sn}_2\text{O}_7$.

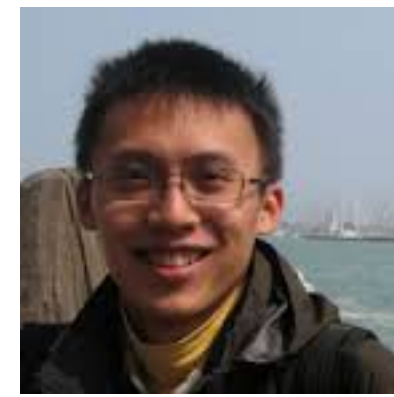
this word is very popular among fundamental theorists !

distinct by symmetry

how to bridge/realize the abstract fundamental with the realistic material.

this word is most important problem among numerical orientated theorists. I will also bridge the numerics with the realistic materials.

Outline



Yi-Ping Huang



Mike Hermele

1. Frustrated magnetism in rare-earth pyrochlores
2. Dipole-octupole doublets
3. Realistic XYZ model and symmetry enriched quantum spin ices ($U(1)$ quantum spin liquids)
4. Related materials

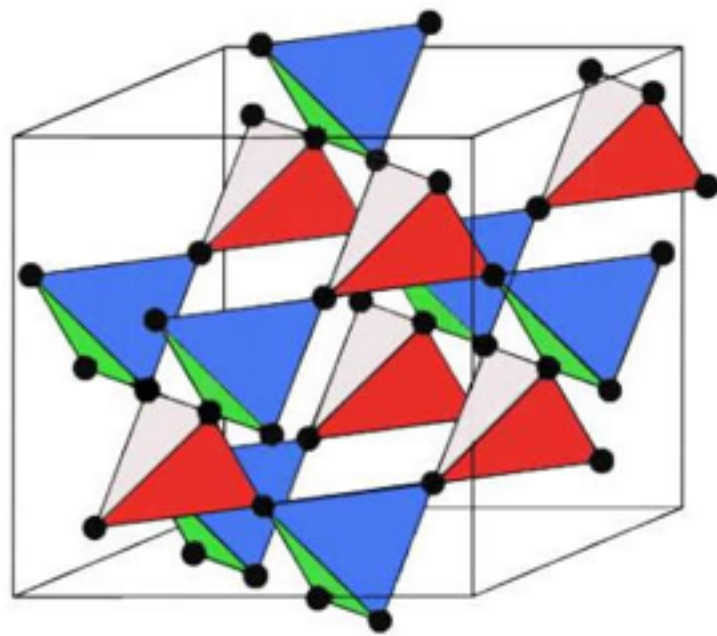
i will explain that the model support both exotic order and a highly nontrivial symmetry enriched quantum spin liuqid.

finally, i list some of the relevant materials

Collaborators: [Yi-Ping Huang](#), [Mike Hermele](#), CU Boulder

Ref: arXiv 1311.1231, **Phys. Rev. Lett.** 112,167203 (2014)

Rare-earth pyrochlores



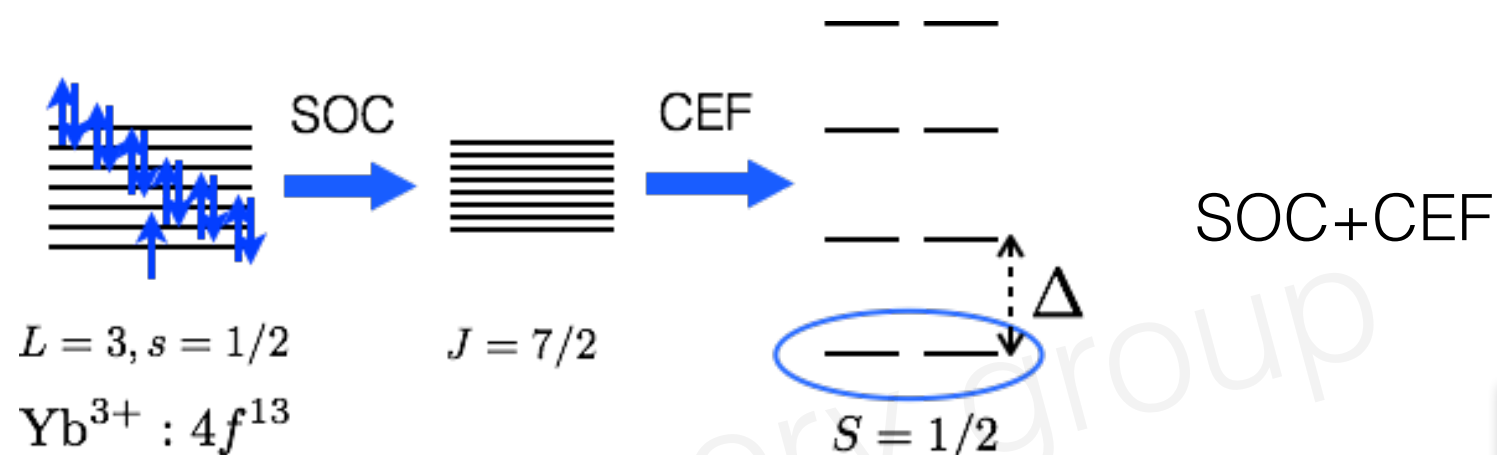
Rare Earth Elements

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Over years, there are a lot of activity in spin ice system.

the rare earth moment form a pyrochlore lattice, which is corner sharing tetrahedron in 3d

Rare-earth local moments: a **crude** classification



Kramers' doublet: R³⁺ with **odd** number of electrons

lanthanum 57 La 138.91	cerium 58 Ce 140.12	praseodymium 59 Pr 140.91	neodymium 60 Nd 144.24	promethium 61 Pm [145]	samarium 62 Sm 150.36	europium 63 Eu 151.96	gadolinium 64 Gd 157.25	terbium 65 Tb 158.93	dysprosium 66 Dy 162.50	holmium 67 Ho 164.93	erbium 68 Er 167.26	thulium 69 Tm 168.93
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Non-Kramers' doublet / singlet: R³⁺ with **even** number of electrons

lanthanum 57 La 138.91	cerium 58 Ce 140.12	praseodymium 59 Pr 140.91	neodymium 60 Nd 144.24	promethium 61 Pm [145]	samarium 62 Sm 150.36	europium 63 Eu 151.96	gadolinium 64 Gd 157.25	terbium 65 Tb 158.93	dysprosium 66 Dy 162.50	holmium 67 Ho 164.93	erbium 68 Er 167.26	thulium 69 Tm 168.93	ytterbium 70 Yb 173.04
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in a rare earth ion site, the $4f$ electrons form a spin-orbit entangled state with total angular momentum J , and then CEF splits this into multiple doublet, or singlet, states. The excited state is much higher in energy than the energy scale of the CEF, so one can simply focus on the ground state doublet, which is modeled as an effective spin-1/2 DOF.

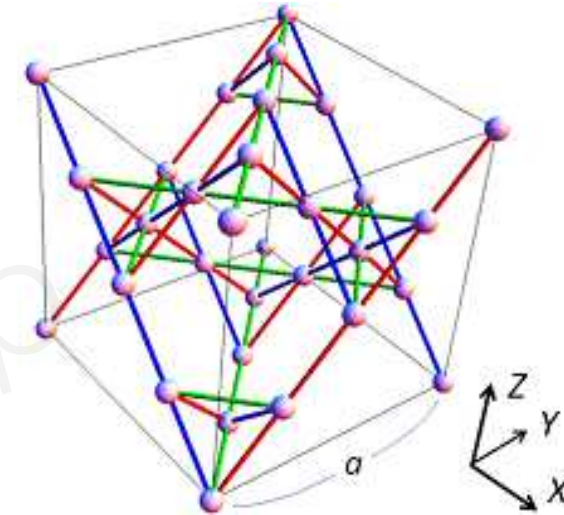
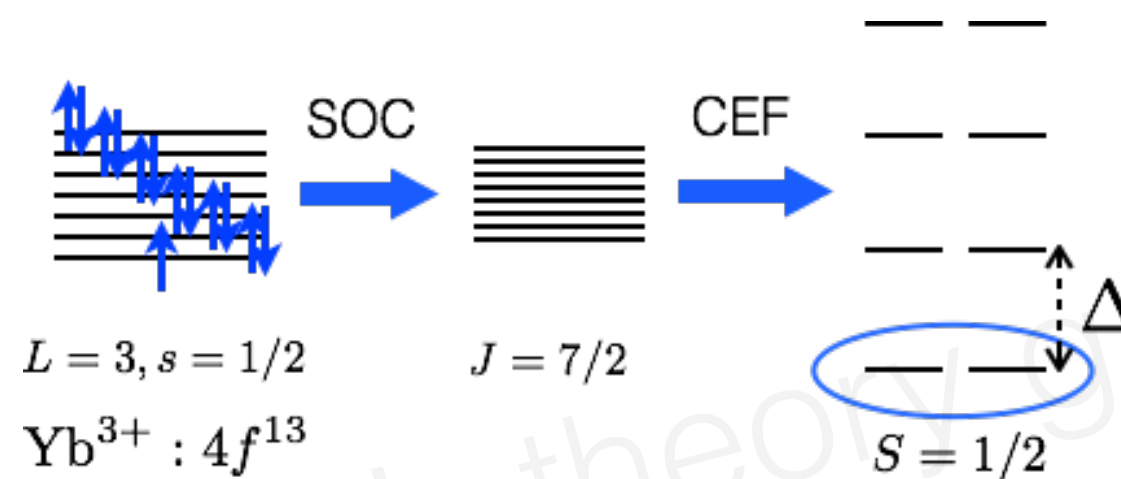
Crudely speaking, the rare earth local moments can be classified into Kramer's doublet and non-Kramer's doublet. For rare earth ions with odd number of $4f$ electrons, they form Kramer's doublet, even for $J=0$.

A generic model that describe the interaction between local effective spin-1/2 moments is given by this complicated form,

because of the intrinsic spin-orbit entanglement, the spin interaction is anisotropy both in position space and spin space. This is manifested i the bond dependent phase factors.

the locking between the spin interaction and bond orientioan

A generic spin Hamiltonian



Curnoe, 2008
 Onoda, etc 2009

$$H = \sum_{\langle ij \rangle} \{ J_{zz} \mathbf{S}_i^z \mathbf{S}_j^z - J_{\pm} (\mathbf{S}_i^+ \mathbf{S}_j^- + \mathbf{S}_i^- \mathbf{S}_j^+) \}$$

$$+ J_{\pm\pm} (\gamma_{ij} \mathbf{S}_i^+ \mathbf{S}_j^+ + \gamma_{ij}^* \mathbf{S}_i^- \mathbf{S}_j^-)$$

$$+ J_{z\pm} [\mathbf{S}_i^z (\zeta_{ij} \mathbf{S}_j^+ + \zeta_{ij}^* \mathbf{S}_j^-) + i \leftrightarrow j],$$

$$\gamma_{ij} = 1, e^{i2\pi/3}, e^{-i2\pi/3}$$

$$\zeta = -\gamma^* \text{ for different bond orientations.}$$

The phase factors depend on the bond orientations:

“Locking” of the spin interaction and bond orientation.

This is the **key property** of strong spin-orbit coupled magnets.

Witczak-Krempa, **GC**, YB Kim, Balents, Annual Review of CMP 2014

if one google search, one can find a lot of nice work by these distinguished theoretical physicists.

Spin ice (Ising) limit

$$H = J_{zz} \sum_{\langle i,j \rangle} S_i^z S_j^z + \dots$$

Ref: Gingras, Moessner, Tchernyshyov, Castelnovo, Sondhi, Schiffer, Penc, Hermele, Balents, Fisher, Savary.....

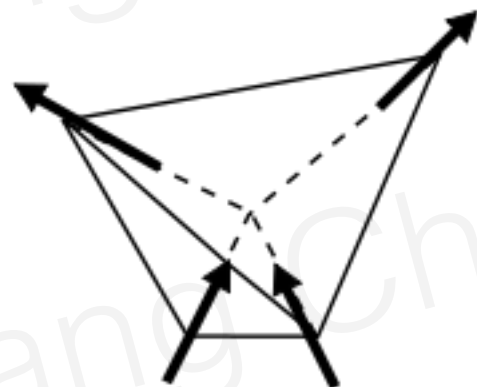
Over years, there are a lot of activity in spin ice system.

spin ice is realized in rare earth pyrochlore systems, when the ising part of the exchange is AFM and dominant.

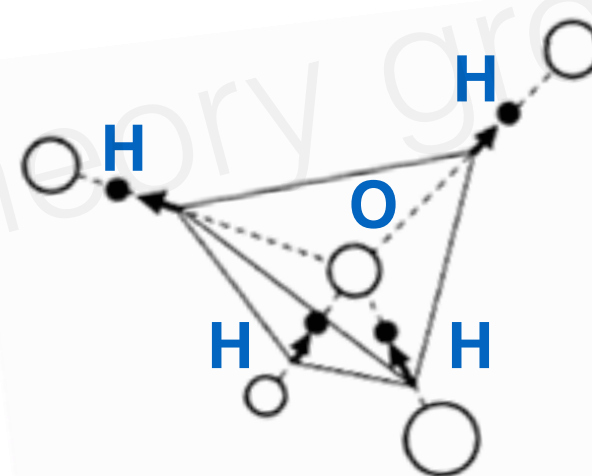
if one google search, one can find a lot of nice work by these distinguished theoretical physicists.

The interaction between the ising is AFM, it favor 2 spin in 2 spin out of the tetrahedra. This is the 2-in 2-out spin ice rule.

Beucase of the analog relation with H position in water ice, each O has 4 H near it, 2 are close, 2 are further.



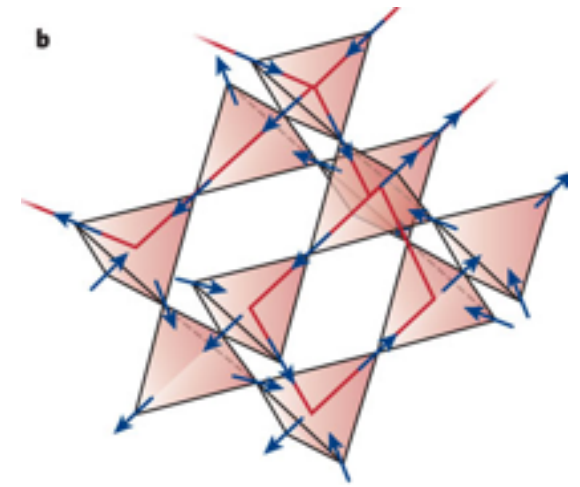
2-in 2-out
spin ice rule



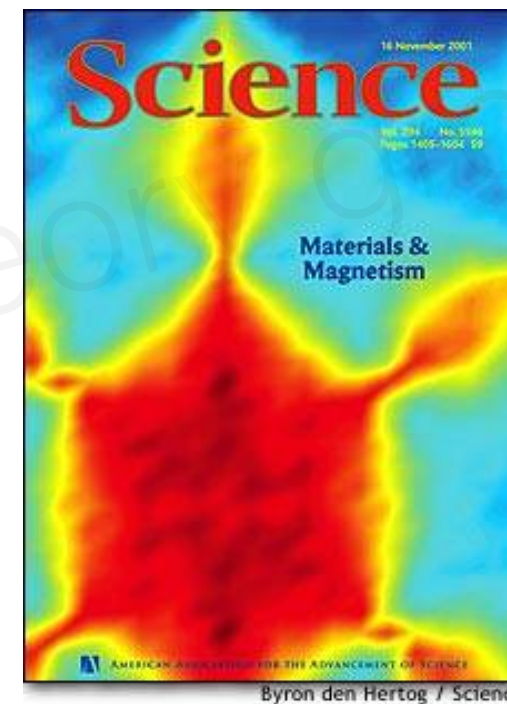
2-in 2-out
water ice rule

from wiki

Classical spin ice



- The “2-in 2-out” states are extensively degenerate.
- At temperature $T < J_{zz}$, the system **thermally** fluctuates within the ice manifold, leading to classical spin ice and interesting experimental discoveries.



1. the 2-in 2-out spin ice is extensively degenerate. In each tetrahedron, one can have 2-in 2-out or 2-out 2-in.
2. at $T \ll J_{zz}$, the system fluctuates within the ice manifold, leading to classical spin ice and interesting experimental consequences. Many of these are discussed in nature and science.

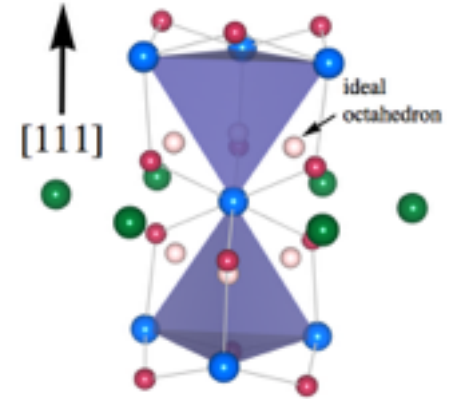
Pinch points in spin correlation

Dipole-octupole doublet

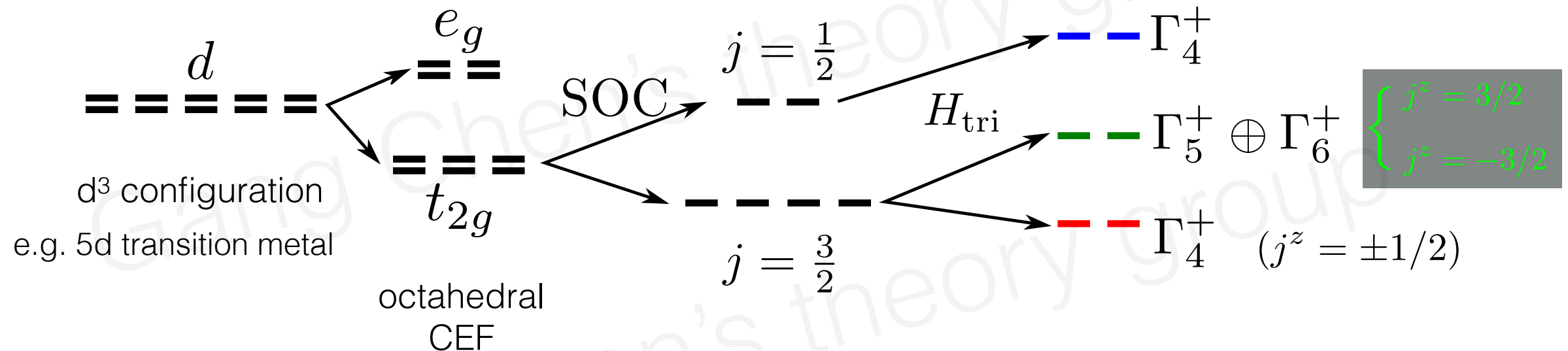
The early classification of local moments is a bit crude !
One should carefully examine the wavefunction of the local doublet.

what is dipole-octupole doublet, the early classification is a bit crude,
one should carefully examine the wavefunction of the local doublet .

Local physics: start with t_{2g} electrons



- Local moments on pyrochlore lattice: effective spin-1/2



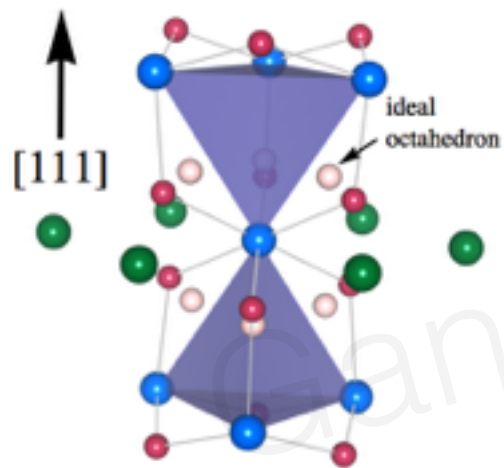
d electrons under D_{3d}
point group crystal field

for my convenience, let me start with t_{2g} electrons. Let's consider 5d electron in octahedral environment.

add $J_z = \pm 1/2$

- Why is this Kramers doublet so special ?

ONE-dimensional representations of the point group



$$R(2\pi/3)|J^z = \pm 3/2\rangle = -|J^z = \pm 3/2\rangle$$

$$R(2\pi/3) \equiv e^{-i\frac{2\pi}{3}J^z} = e^{-i\frac{2\pi}{3} \times (\pm \frac{3}{2})} = e^{\mp i\pi} = -1$$

$$|J^z = +3/2\rangle \xrightarrow{\text{time reversal}} |J^z = -3/2\rangle$$

why special,

they are one dimensional rep of point group.

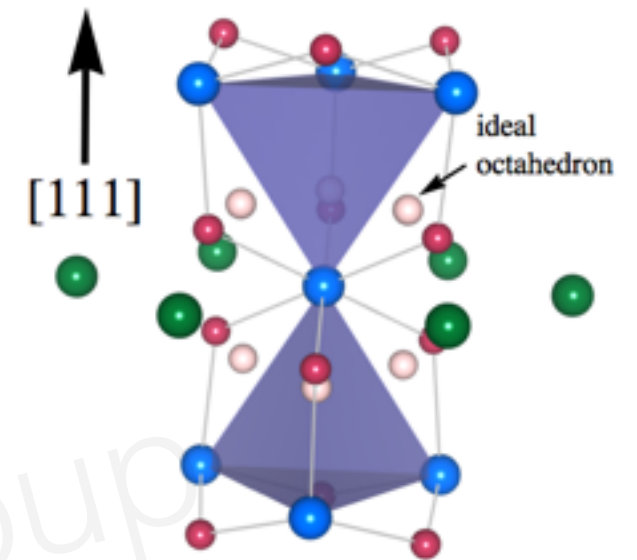
in particular, if you look at the 3-fold rotation operation, under this rotation each state stay invariant, except a minus sign. they do not transform into each other under the point group transformation.

simple algebra

this is very different from 2-dim irreps where these two states of the doublet are mixed.

These two states are degenerate under time reversal, deg protected by time reversal.

More generally, ...



- Also applies to 4f electron moments on pyrochlore

$$J = \frac{3}{2}, \frac{9}{2}, \frac{15}{2}, \dots$$

with the local crystal field Hamiltonian

$$H_{\text{cf}} = 3B_2^0(J^z)^2 + \dots \quad \text{if } B_2^0 < 0$$

more generally, it applies to xxxx.
if the local crystal field hamiltanian is
easy axis like.

e.g. local doublet wavefunction of Dy^{3+} ($J = \frac{15}{2}$) in $\text{Dy}_2\text{Ti}_2\text{O}_7$

$$|\phi_0^\pm\rangle = 0.981|\pm\frac{15}{2}\rangle \pm 0.190|\pm\frac{9}{2}\rangle - 0.022|\pm\frac{3}{2}\rangle \mp 0.037|\mp\frac{3}{2}\rangle + 0.005|\mp\frac{9}{2}\rangle \pm 0.001|\mp\frac{15}{2}\rangle$$

Emphasis: what matters is the wavefunction, not the spin value !

- may generally apply to any Kramers' doublets with $J > 1/2$!

e.g, Ce: **Ce₂Sn₂O₇**

PRL **115**, 097202 (2015)

PHYSICAL REVIEW LETTERS

week ending
28 AUGUST 2015

Candidate Quantum Spin Liquid in the Ce³⁺ Pyrochlore Stannate Ce₂Sn₂O₇

Romain Sibille,^{1,*} Elsa Lhotel,² Vladimir Pomjakushin,³ Chris Baines,⁴ Tom Fennell,^{3,†} and Michel Kenzelmann¹

$4f^1$ ion in D_{3d} local symmetry to the susceptibility was realized between $T = 1.8$ and 370 K, and the resulting calculation of the single ion magnetic moment is shown in Fig. 2(c). The wave functions of the ground state Kramers doublet correspond to a linear combination of $m_J = \pm 3/2$ states. The fitted coefficients result in energy levels at $50 \pm$

Ce³⁺ ($4f^1$, 2F)

$$J = \frac{5}{2}$$

the wavefunction should be a linear combination of states with odd integer J values, such as $3/2$.

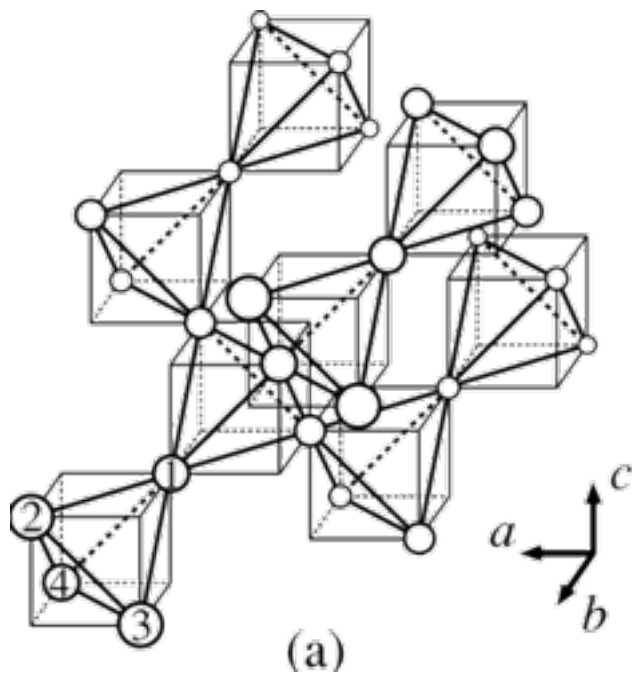
this really because of the fact that the ground states are one-dimensional, even in the YbTiO₃, such as the excited doublets.

Realistic **XYZ model** and **Symmetry Enriched** U(1) quantum spin liquids

next i will introduce the realistic XYZ model that describe the interaction between these peculiar doublet, and discuss the remarkable physical properties.

Symmetry properties

- Effective spin-1/2 under lattice symmetry Tetra



$$T_d \times \mathcal{I} \times \text{translations} \quad \text{and} \quad T_d =$$

$$\begin{cases} S^z = \frac{1}{2} \left| \frac{3}{2} \right\rangle \left\langle \frac{3}{2} \right| - \frac{1}{2} \left| -\frac{3}{2} \right\rangle \left\langle -\frac{3}{2} \right| \\ S^+ = \left| \frac{3}{2} \right\rangle \left\langle -\frac{3}{2} \right|, \quad S^- = \left| -\frac{3}{2} \right\rangle \left\langle \frac{3}{2} \right| \end{cases}$$

$$C_3 : S^\mu \rightarrow S^\mu$$

$$M : S^{x,z} \rightarrow -S^{x,z}, \quad S^y \rightarrow S^y$$

$$\mathcal{I} : S^\mu \rightarrow S^\mu$$

Important: \mathbf{S}^x and \mathbf{S}^z transform identically (as a dipole),
while \mathbf{S}^y transforms as an octupole moment under *mirror*.

just like what we do for any two-fold degree doublet, one can introduce an effective spin-1/2 to describe this doublet.

because of the peculiar property, the symmetry transformation of the effective spin components are rather interesting.

to simplify my notation, i use $j=3/2$ here

Generic model: XYZ model

with the symmetries, one can write down the generic symmetry allowed Hamiltonian.

even though the local moment results from strong spin-orbit coupling, remarkable, the coupling is uniform spatially.

$$H = \sum_{\langle ij \rangle} J_z S_i^z S_j^z + J_x S_i^x S_j^x + J_y S_i^y S_j^y + J_{xz} (S_i^x S_j^z + S_i^z S_j^x)$$

Uniform Spatially !

VS

$$H = \sum_{\langle ij \rangle} \{ J_{zz} S_i^z S_j^z - J_{\pm} (S_i^+ S_j^- + S_i^- S_j^+) + J_{\pm\pm} (\gamma_{ij} S_i^+ S_j^+ + \gamma_{ij}^* S_i^- S_j^-) + J_{z\pm} [S_i^z (\zeta_{ij} S_j^+ + \zeta_{ij}^* S_j^-) + i \leftrightarrow j] \},$$

Anisotropic Spatially !

A small transformation into XYZ model

$$H = \sum_{\langle ij \rangle} J_z S_i^z S_j^z + J_x S_i^x S_j^x + J_y S_i^y S_j^y + J_{xz} (S_i^x S_j^z + S_i^z S_j^x)$$

this does not look like XYZ,

just because of the spatial uniformity,
one can do a rotation in spin space
without effecting real space,



Rotation around **the y axis**
in the effective spin space

$$H_{\text{XYZ}} = \sum_{\langle ij \rangle} \tilde{J}_z \tilde{S}_i^z \tilde{S}_j^z + \tilde{J}_x \tilde{S}_i^x \tilde{S}_j^x + \tilde{J}_y \tilde{S}_i^y \tilde{S}_j^y$$

XYZ model

Unfrustrated regime: ordered phase

1. $\tilde{J}_z < 0$ and $|\tilde{J}_z| \gg \tilde{J}_{x,y}$, then $\langle \tilde{S}_i^z \rangle \neq 0$.

This is an “all-in all-out” AFM state with magnetic dipolar order.

2. $\tilde{J}_x < 0$ and $|\tilde{J}_x| \gg \tilde{J}_{y,z}$, then $\langle \tilde{S}_i^x \rangle \neq 0$.

This state is not distinct from the first state by symmetry.

3. $\tilde{J}_y < 0$ and $|\tilde{J}_y| \gg \tilde{J}_{x,z}$, then $\langle \tilde{S}_i^y \rangle \neq 0$.

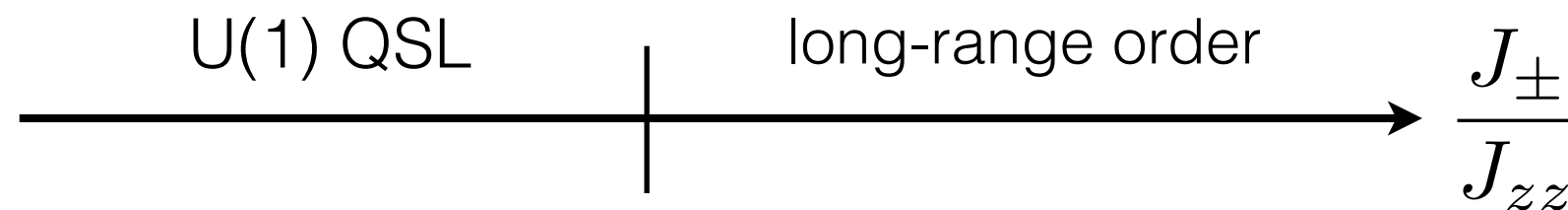
This state is **rather distinct** from the above two states !
It has an **antiferro-octupolar** order but **NO** dipolar order.

Symmetry-Enriched $U(1)$ quantum spin liquid

XXZ model can lead to U(1) QSL

$$H = J_{zz} \sum_{\langle i,j \rangle} S_i^z S_j^z - J_{\pm} \sum_{\langle i,j \rangle} (S_i^+ S_j^- + S_i^- S_j^+) + \dots$$

Hermele, Fisher, Balents, Moessner, Isakov, YB Kim....



- Pretty much one can add any term to create **quantum** tunneling, as not too large to induce magnetic order, the **ground state** is a U(1) Q

1. But classical spin ice is purely classical and is not a new phase of matter. It is smoothly connected to high temperature paramagnetic phase.

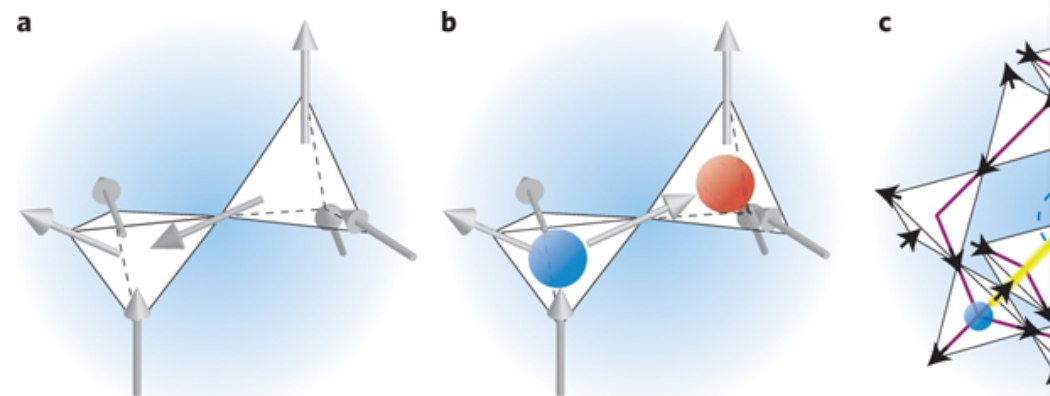
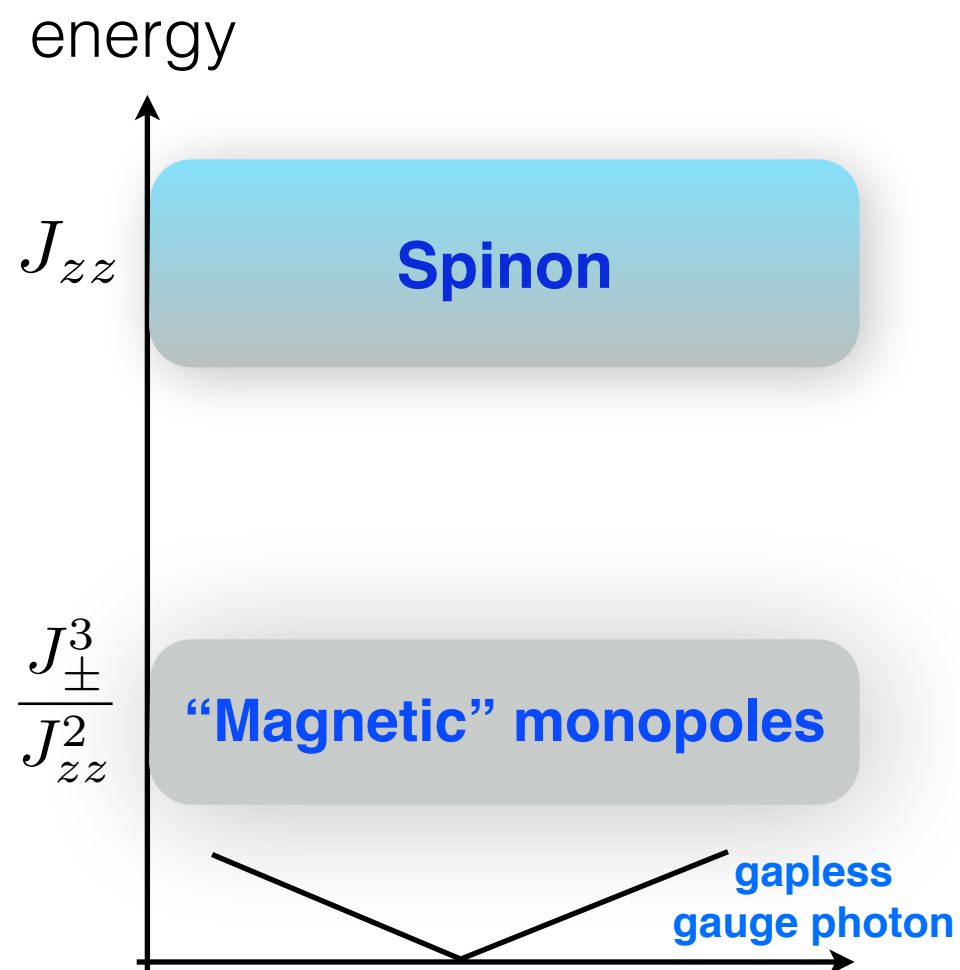
2. In contrast, quantum spin ice is a new quantum phase of matter.

3. To obtain quantum spin ice, one simply adds quantum spin flip terms to the Ising Hamiltonian.

4. The quantum term allows the system to tunnel from one spin ice state to another.

5. As long as the term is not too large, the system is disordered, and the ground state is quantum spin ice.

Emergent Quantum Electrodynamics



Figs from Mo

Spinon deconfinement

Emergent electric field

$$S^z \sim E$$

Emergent vector potential

$$S^{\pm} \sim e^{\pm iA}$$

as quantum spin ice is a disordered state, there is no long range order, it is a new phase of matter and cannot be described in the Landau's paradigm of symmetry breaking.

the right description of quantum spin ice is fractionalization and emergence of emergent gauge structure.

there are 3 elementary excitations: spinon, gauge photon, and magnetic monopole. it is not a Goldstone boson, which is associated with symmetry breaking, there is no symmetry breaking of emergent gauge structure.

there are deconfined spinons, which can create 2 spinons, you can flip the further spins, at an arbitrary distance, it is only a string of spinons. in the image there is a string connecting two spinons, the spin is strongly fluctuating, the spinons are deconfined.

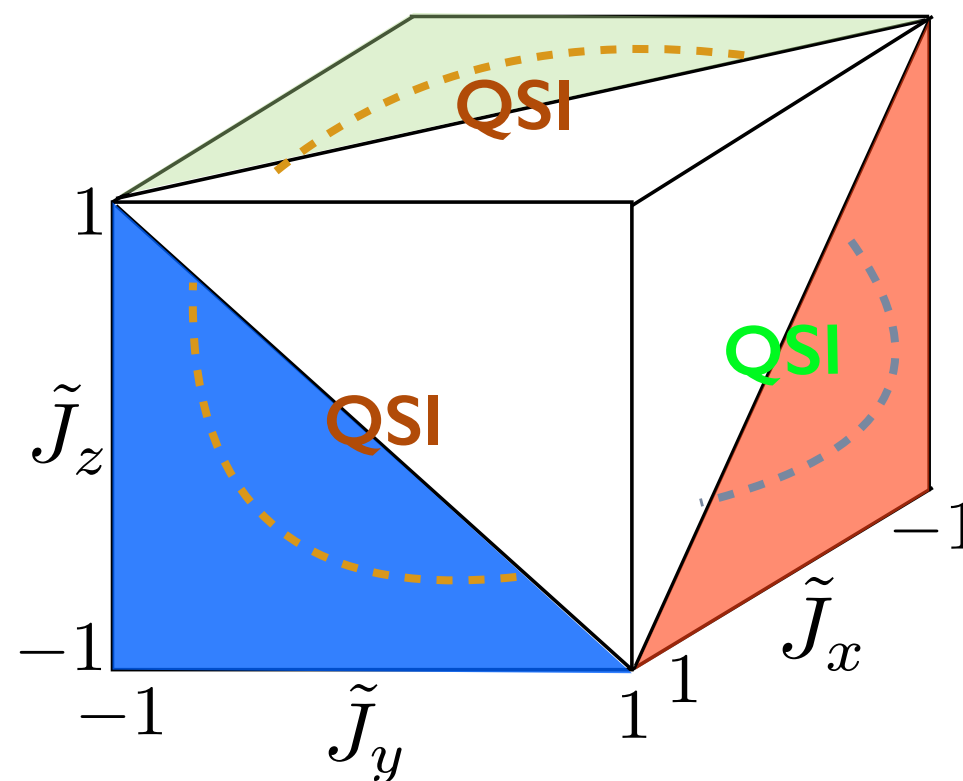
the 3rd is magnetic monopole, which is a defect of the emergent U(1) gauge field.

It is an exotic phase of matter, with properties as topological order.

XYZ model is **much richer** !

$$H_{\text{XYZ}} = \sum_{\langle ij \rangle} \tilde{J}_z \tilde{S}_i^z \tilde{S}_j^z + \tilde{J}_y \tilde{S}_i^x \tilde{S}_j^x + \tilde{J}_x \tilde{S}_i^y \tilde{S}_j^y$$

unlike XXZ model, XYZ model is much richer



3D phase diagram

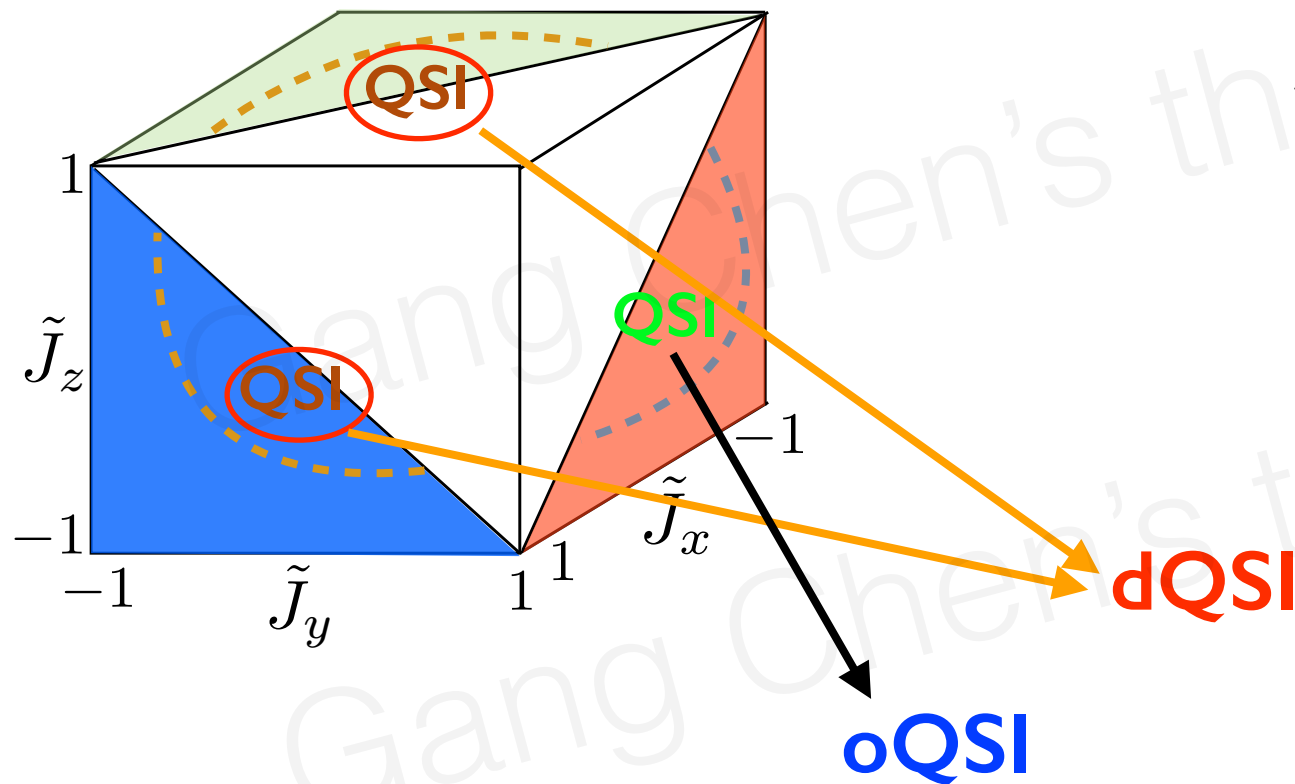
Each component (not just S_z) can be emergent electric field, depending on the parameters !

Study phase on a cube: $-1 \leq \tilde{J}_{x,y,z} \leq 1$.

Realistic Symmetry Enrichment



Xiao-Gang Wen
Symmetry Enriched Topological order (**SET**)



Are they different?

Yes. They are distinct by symmetry,
i.e. they are symmetry enriched QSI

there is a huge theoretical activity in SET, there are a lot of classification of SET with fancy mathematics such as group cohomology

$$M : S^{x,z} \rightarrow -S^{x,z},$$

$$\mathcal{I} : S$$

$$C_3 : S$$

dQSI vs oQSI

Transformation of continuum E/B field under Oh point group

	dQSI	oQSI
E-field	T_1^+ (pseudovector)	T_2^+
B-field	T_1^- (vector)	T_2^-

since the lowenergy field theory is identical , both phyase have identical theromonydmica properties

- Both phases have identical thermodynamical properties
e.g. T^3 heat capacity

- Different dipolar static spin correlation:

$$\text{dQSI: } \langle S_z(0) S_z(r) \rangle \sim 1/r^4.$$

$$\text{oQSI: } \langle S_z(0) S_z(r) \rangle \sim 1/r^8,$$

with nearest-neighbor $Z_2 \times Z_2$ symmetry, decay exponentially.

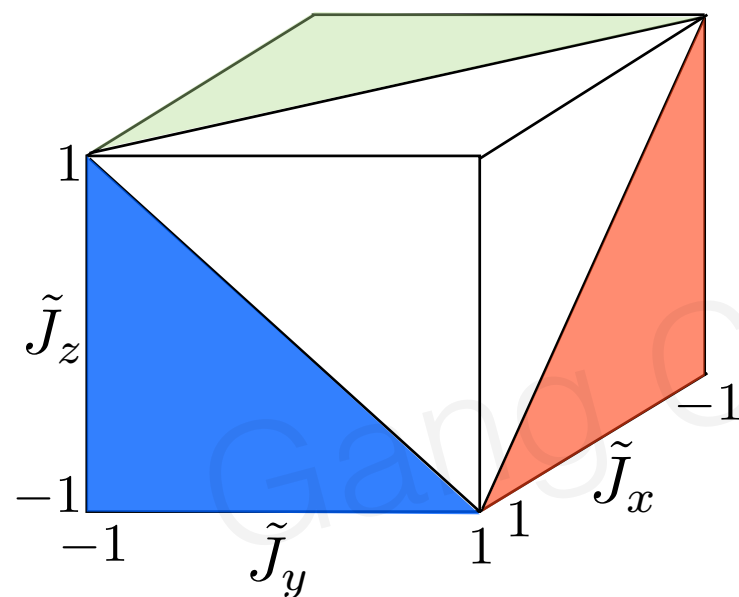
Sign problem free for QMC

$$H_{\text{XYZ}} = \sum_{\langle ij \rangle} \tilde{J}_z \tilde{S}_i^z \tilde{S}_j^z + \tilde{J}_y \tilde{S}_i^x \tilde{S}_j^x + \tilde{J}_x \tilde{S}_i^y \tilde{S}_j^y$$

$$= \sum_{\langle ij \rangle} J_{zz} \tilde{S}_i^z \tilde{S}_j^z - J_{\pm} (\tilde{S}_i^+ \tilde{S}_j^- + h.c.) + J_{\pm\pm} (\tilde{S}_i^+ \tilde{S}_j^+ + \tilde{S}_i^- \tilde{S}_j^-)$$

with $J_{zz} = \tilde{J}_z$, $J_{\pm} = -\frac{1}{4}(\tilde{J}_x + \tilde{J}_y)$ and $J_{\pm\pm} = \frac{1}{4}(\tilde{J}_x - \tilde{J}_y)$.

- Realistic (and nontrivial) model for QMC.
- Actually no sign problem on any lattice !
- Possibility of Z2 liquid?



no sign problem
in the shaded parts

“Classification of Kagame Z2 liquid”, Feiye Li, A Essin, [GC](#), 2016 (appear soon)
 “Classification of Kagame Z2 liquid”, YP Huang, Hermele, 2016 (see Huang’s talk)

Kagome lattice, Carrasquilla, Hao, Melko, 2015
 Triangular lattice, Y-B Yang, [GC](#), etc (in preparation)
 Pyrochlore lattice, ZY Meng, [GC](#), etc (in preparation)
 FCC lattice, J. Lou, [GC](#), etc (in preparation)
 Checkerboard lattice R Yu, [GC](#), etc (in preparation)

Materials

Material survey

Our doublet can potentially be realized for any Kramers spin moment with $J > 1/2$.

Two well-known systems:

- Pyrochlores $A_2B_2O_7$,

e.g. ,

$Nd_2Ir_2O_7$, $Nd_2Sn_2O_7$, $Nd_2Zr_2O_7$, etc

$Dy_2Ti_2O_7$,

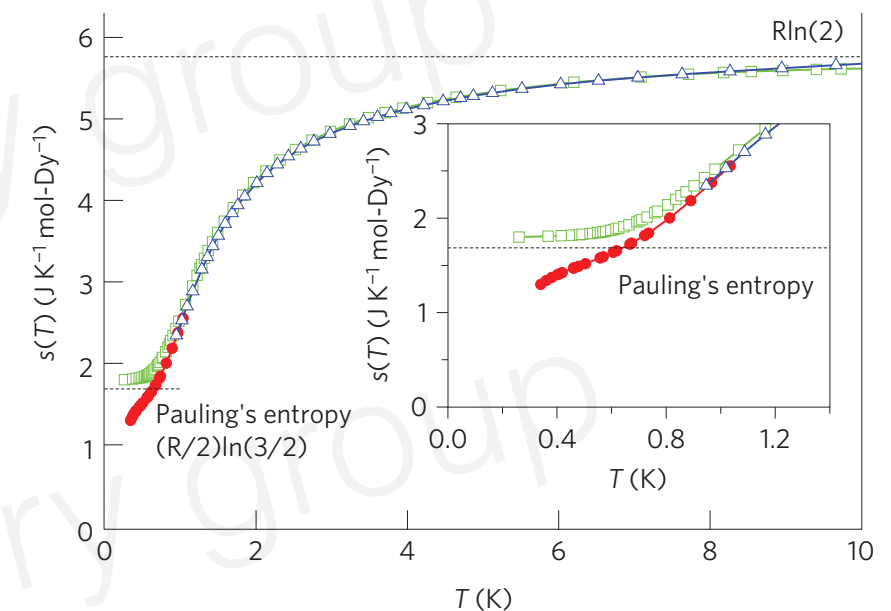
$Cd_2Os_2O_7$, etc

$Ce_2Sn_2O_7$,

- Spinels AB_2X_4 , B=lanthanide?

e.g. $CdEr_2Se_4$

$CdYb_2S_4$

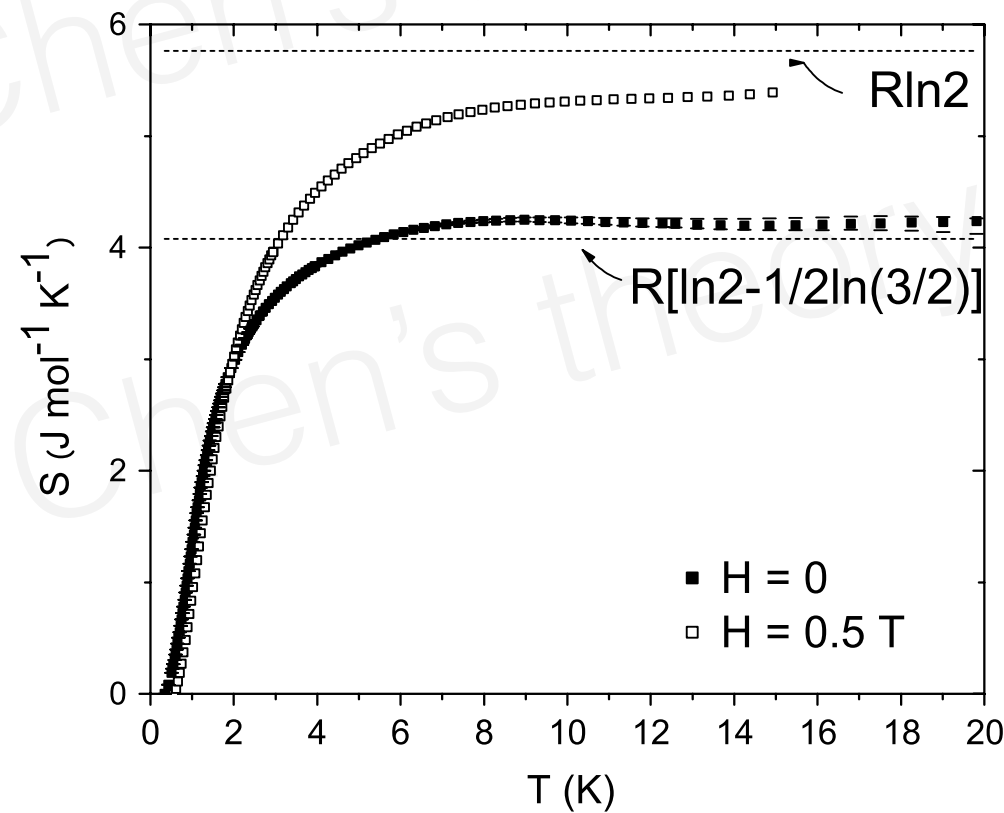


Prof Gaulin's group, $Dy_2Ti_2O_7$, Nat Phys, 2013

CdEr₂Se₄: A New Erbium Spin Ice System in a Spinel Structure

J. Lago,^{1,*} I. Živković,^{2,3} B. Z. Malkin,⁴ J. Rodriguez Fernandez,⁵ P. Ghigna,⁶ P. Dalmás de Réotier,⁷
A. Yaouanc,⁷ and T. Rojo^{1,†}

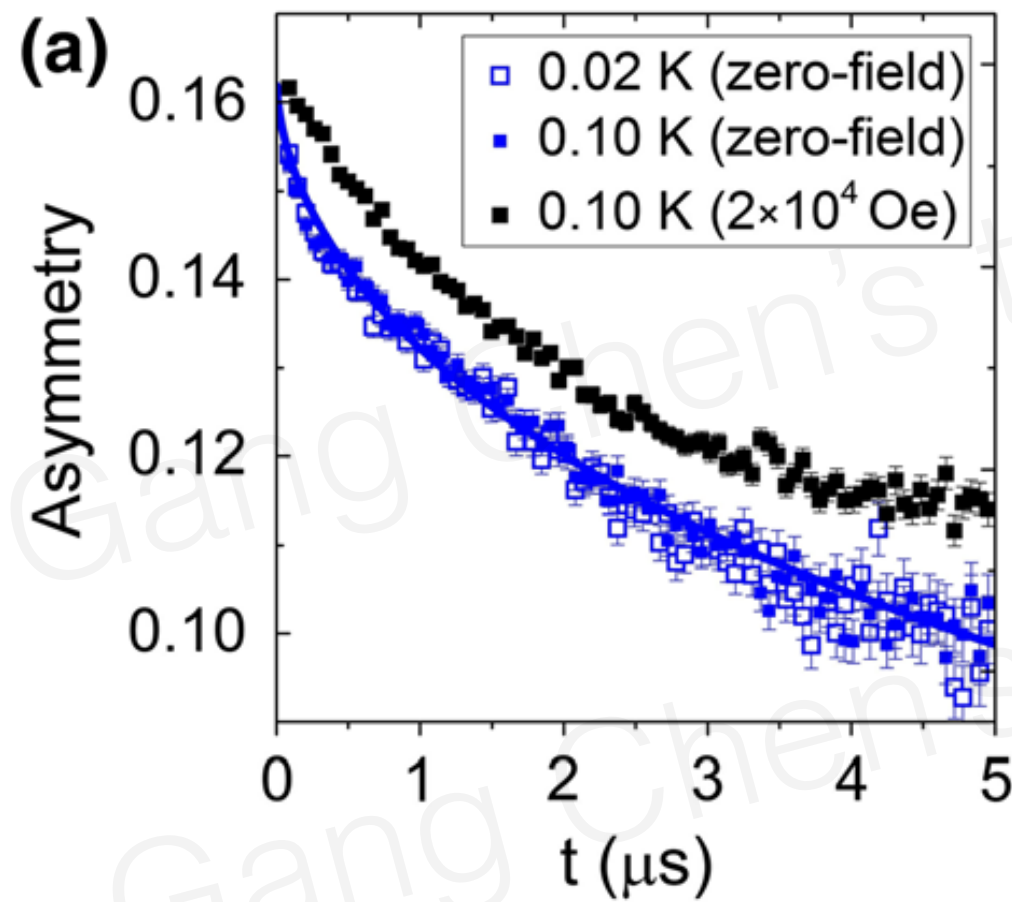
the ground state Kramers doublet are $|\pm\rangle = 0.8792|\pm 15/2\rangle \mp 0.4418|\pm 9/2\rangle + 0.1571|\pm 3/2\rangle \pm 0.0843|\mp 3/2\rangle$, where $|J_z\rangle = |^4I_{15/2}, J_z\rangle$ are the eigenfunctions of the z component of the angular momentum with z being the easy magnetization axis $\langle 111 \rangle$. The values of



Pauling entropy in CdEr₂Se₄

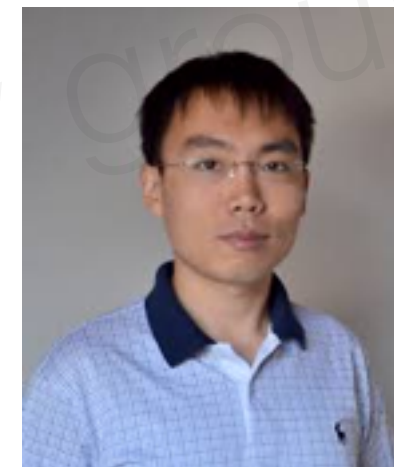
Candidate Quantum Spin Liquid in the Ce^{3+} Pyrochlore Stannate $\text{Ce}_2\text{Sn}_2\text{O}_7$

Romain Sibille,^{1,*} Elsa Lhotel,² Vladimir Pomjakushin,³ Chris Baines,⁴ Tom Fennell,^{3,†} and Michel Kenzelmann¹



muSR

- No sign of ordering down to 0.02K
- Is it dipole-QSI, or, octupole-QSI?



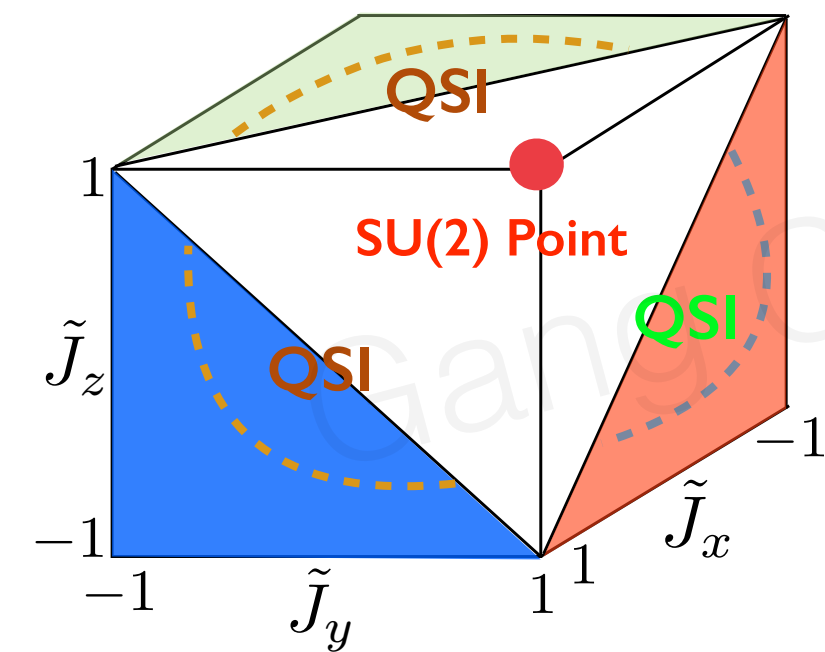
Yuan Li
Peking University
Beijing, China

Summary

- We propose a new doublet dubbed “dipole-octupole” doublet.
- We propose a generic XYZ model for our new doublet.
- This XYZ model supports both exotic (octupolar) order and symmetry enriched U(1) quantum spin liquid (quantum spin ice) ground states.
- There exist a large class of materials (not just pyrochlore, **any other lattices with the same point group**) that can support such doublets.
- The remarkable properties of the doublet allows a direct comparison between numerics and experiments.

OPEN QUESTION

It is expected that, QSIs are more stable in the (frustrated) white region. But how are the QSIs connected with each other?



What is the ground state of SU(2) Heisenberg model on the pyrochlore lattice?

My conjecture: multicritical point or critical region with emergent non-Abelian gauge structure, *i.e.* SU(2) quantum spin liquid